

*Stirring and mixing of  
active and passive tracers  
in the atmosphere and ocean*

**Guillaume Lapeyre**

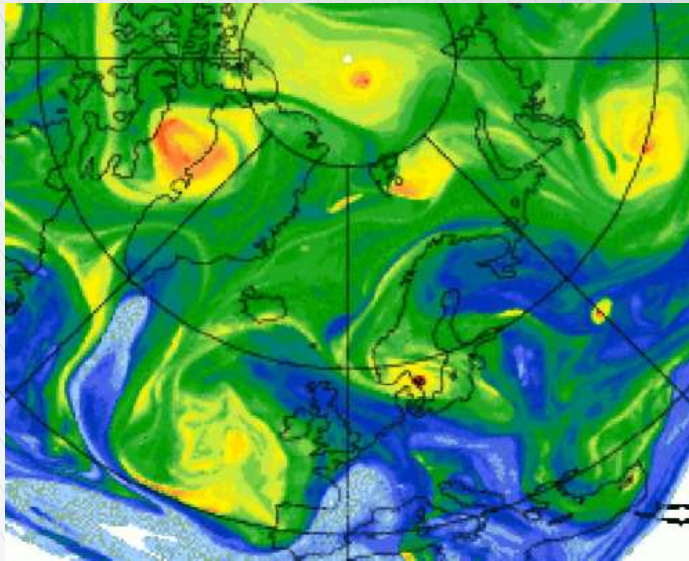
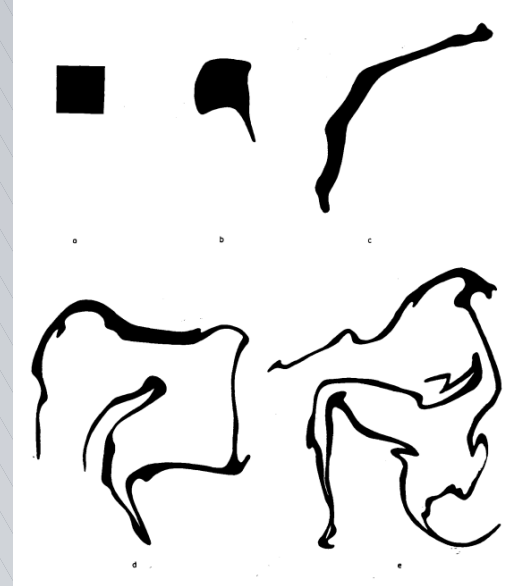


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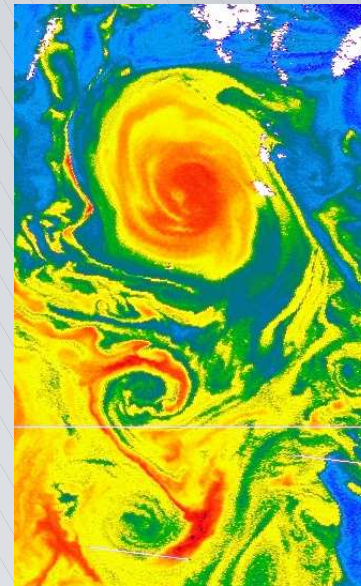
# Horizontal stirring

Deformation of a tracer blob under the action of straining and stretching

- Filamentation
- Dispersion of particles
- Tracer gradients



Water vapor at tropopause



Oceanic Chlorophyll

# Stirring and tracer gradients

Spatial manifestation of tracer cascade to small scales

$$\frac{DC}{Dt} = 0 \quad \Rightarrow \quad \frac{D\nabla C}{Dt} = -[\nabla \mathbf{u}]^T \nabla C$$

Okubo-Weiss quantity:  $OW = \text{Strain}^2 - \text{Vorticity}^2$

(Okubu 1971, Weiss 1991)

$\sqrt{OW}$  eigenvalue of  $[\nabla \mathbf{u}]$

$$\nabla C \approx \exp(\sqrt{OW}t)$$

Two opposite effects:

- $OW > 0$  growth of gradient norm
- $OW < 0$  rotation of gradient orientation

*Kinematic criterion:*  $OW$  depends only on  $\mathbf{u}$

## Lien Hua contribution

Okubo-Weiss assume stationary  $\nabla u$

- ❌ wrong as shown by Basdevant and Philipovitch (1994)

need to take into account **dynamics**

- ❌ Lagrangian accelerations

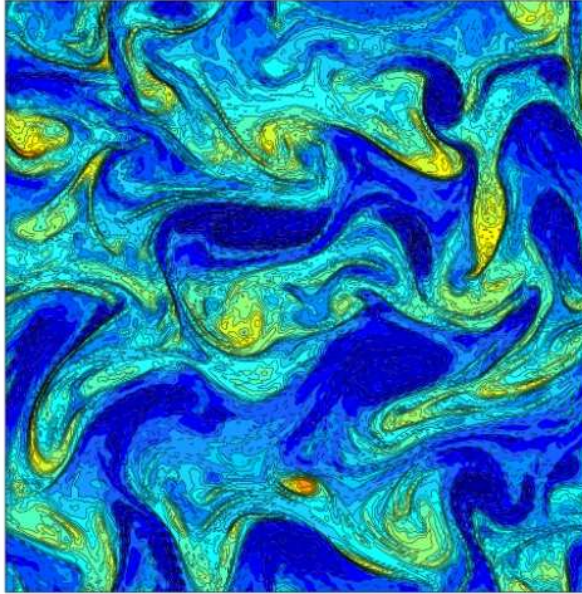
⇒ Hua and Klein criterion

(Hua, Klein, 1998; Hua, McWilliams, Klein 1998)

- ❌ Galilean invariance of dynamics ⇒  $r$ -criterion

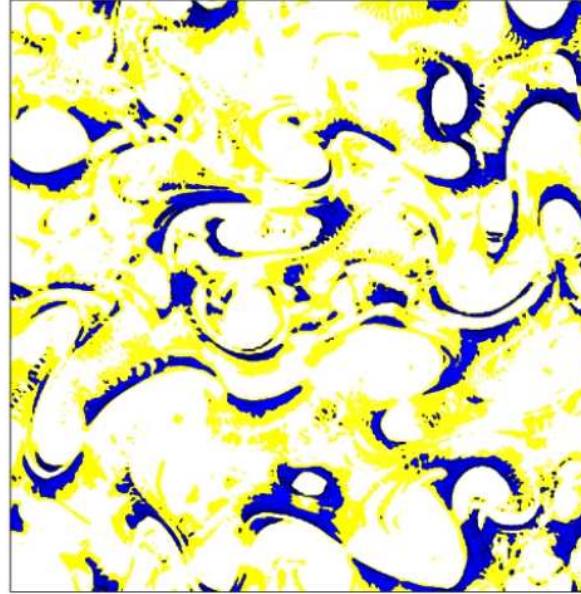
(Lapeyre, Klein, Hua 1999)

Salinity field at  $z=800\text{m}$



CONTOUR FROM -14 TO 14 BY 1

Okubo-Weiss



CONTOUR FROM 50 TO 500 BY 50

Hua Klein criterion

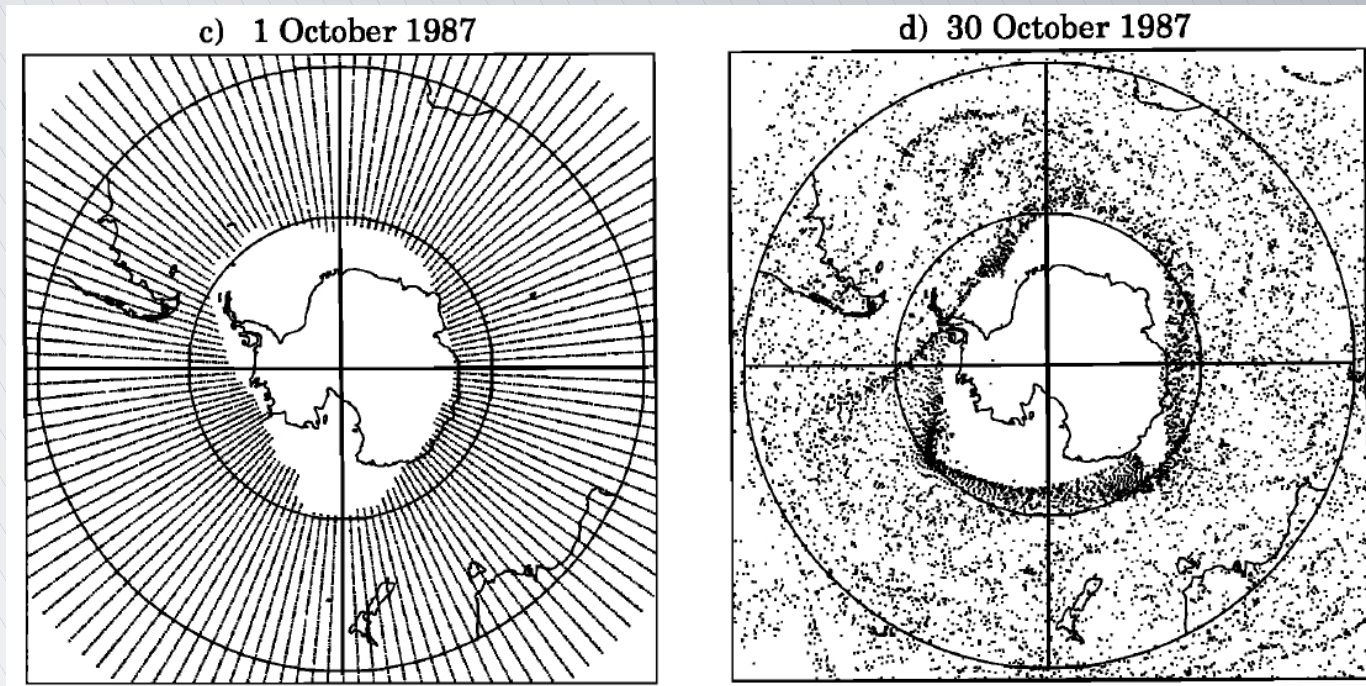


CONTOUR FROM 400 TO 4800 BY 400

Hua Klein criterion  
similar to salinity gradient

QG simulation  
Hua, Klein, McWilliams 1998

# Transport barriers



**Particles released around the Antarctic polar vortex in the stratosphere**

Bowman 1994

- Particles do not penetrate inside the vortex
- Existence of a transport barrier on the boundary
- Associated to strong tracer gradients

# *Transport barriers*

- Mixing along the boundary, but not across it
- Reduced diffusivity even if strong winds and shears  
(Nakamura, Shuckburg, Haynes, Ferrari)

Transport barriers identified through “Invariant Manifolds”

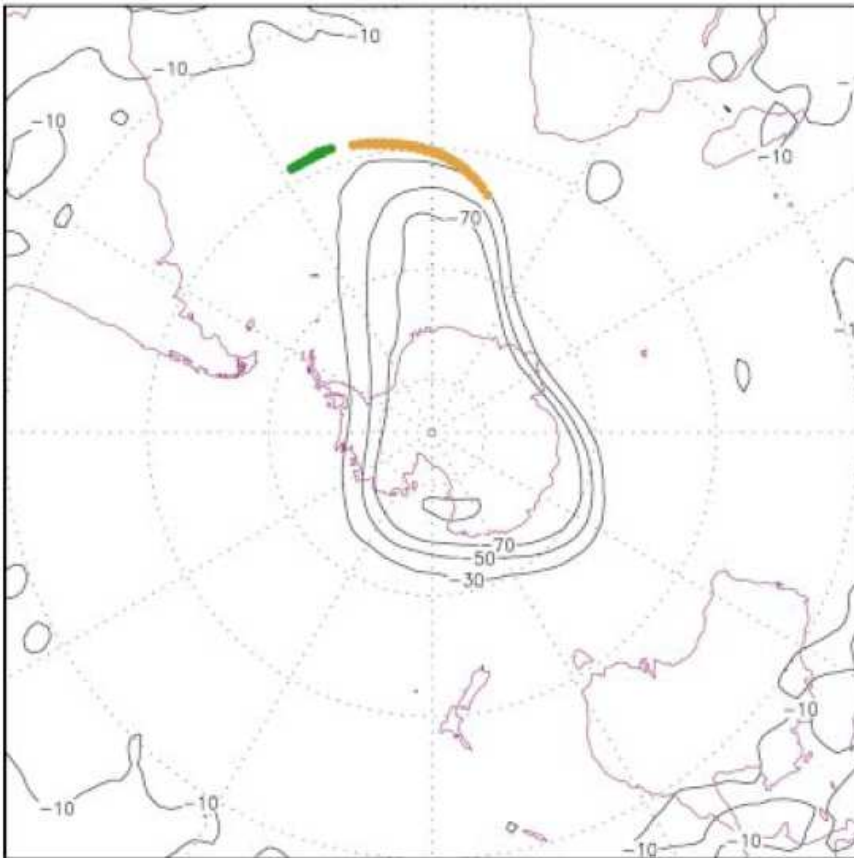
- generalize streamlines for non-stationary flows
- Lines of maximum dispersion  
forward and backward in time

(Wiggins, Haller, Legras)

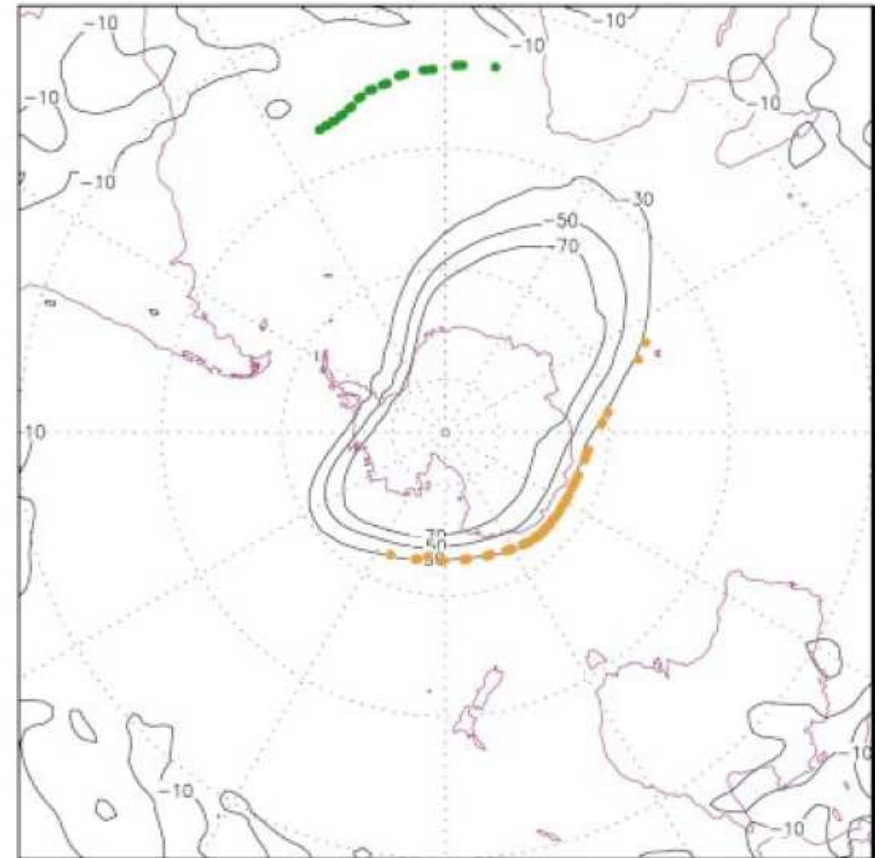
# *Forward Manifold*

## Stratospheric polar vortex

26/10/96



28/10/96

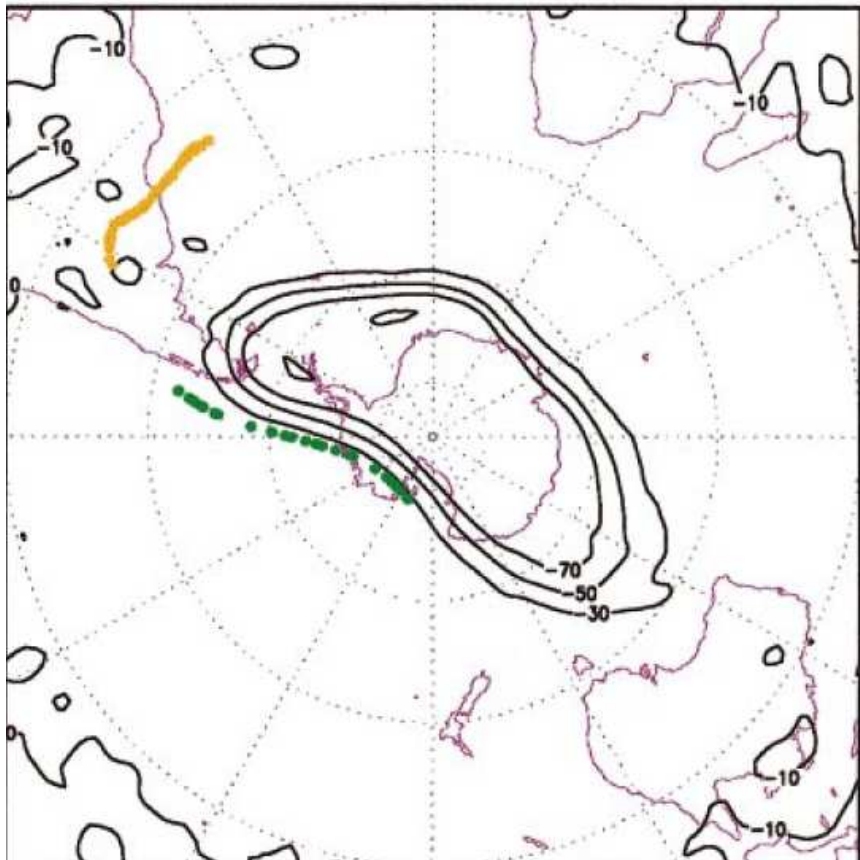


dispersion forward in time (Joseph and Legras 2002)

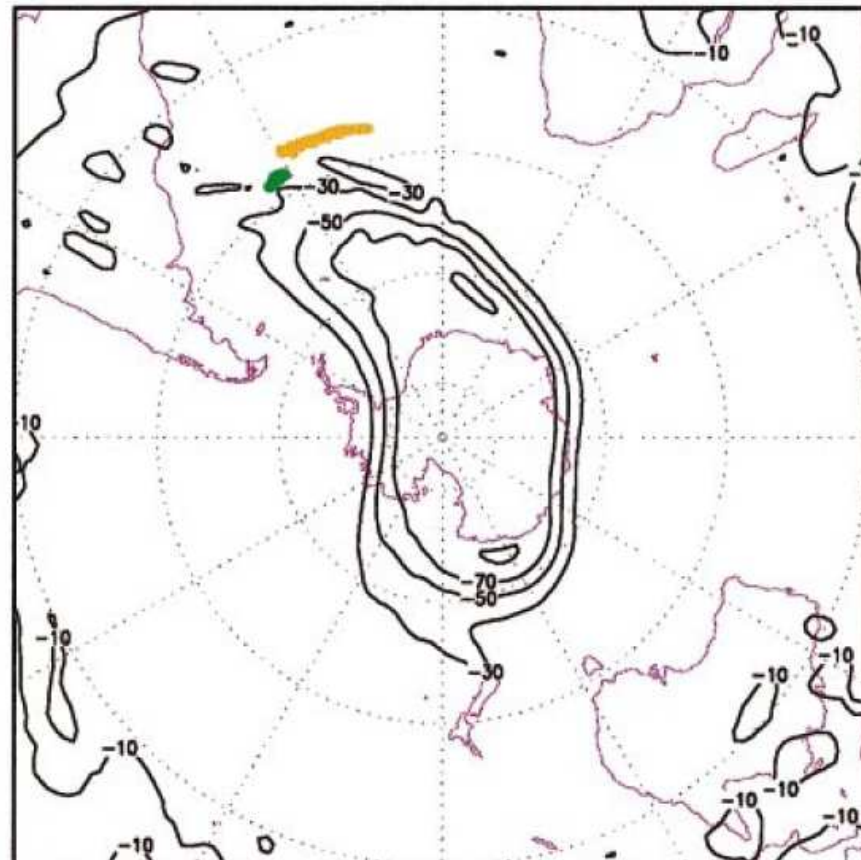


# Backward manifolds

18/10/96

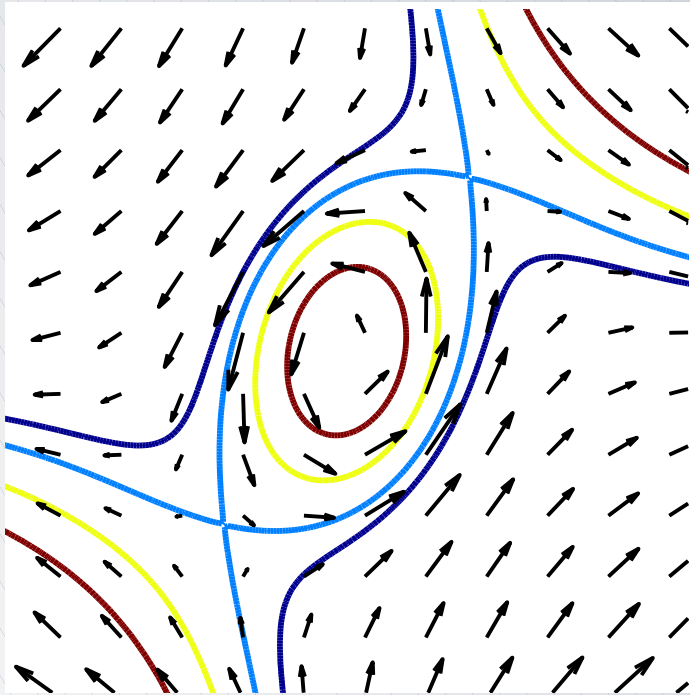


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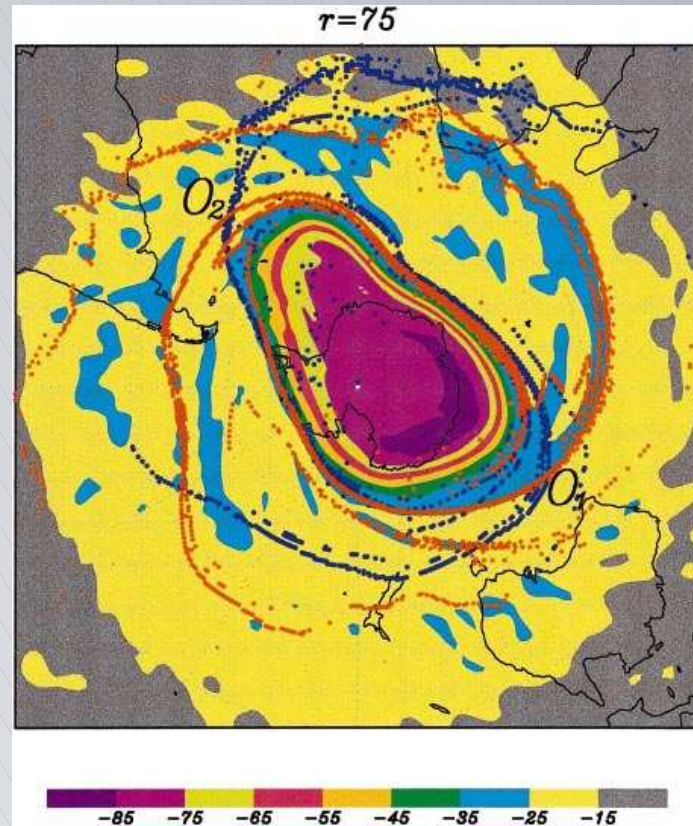


dispersion backward in time (Joseph and Legras 2002)

# Invariant manifolds (Joseph and Legras 2002)



Streamlines  
Velocity



Manifolds (blue and red dots)  
Potential vorticity (color shading)

●  $O_2$  and  $O_3$  Hyperbolic points: leaking fluid outside the vortex

# Identification through Lyapunov exponents $\lambda$

For two initially close particles  $\delta \mathbf{x} = \mathbf{x}_1 - \mathbf{x}_2$

$\lambda$  measures exponential dispersion rate over time  $\tau$

$$\lambda = \frac{1}{\tau} \log \frac{|\delta \mathbf{x}(\tau)|}{|\delta \mathbf{x}(0)|} \quad \text{equivalent to} \quad \lambda = \frac{1}{\tau} \log \frac{|\nabla C(\tau)|}{|\nabla C(0)|}$$

Lapeyre, Hua and Legras (2001) showed

$$\lambda \approx \frac{1}{\tau} \int_{\text{Hyperbolic}} \text{Strain } dt$$

only during strain dominates

following criterion by Lapeyre, Klein, Hua (1999)

## Present issues

Ocean/atmosphere: quasi 2D / 3D

- vertical to horizontal aspect ratio of filaments
- What is a 3D transport barrier in quasi-2D flows?

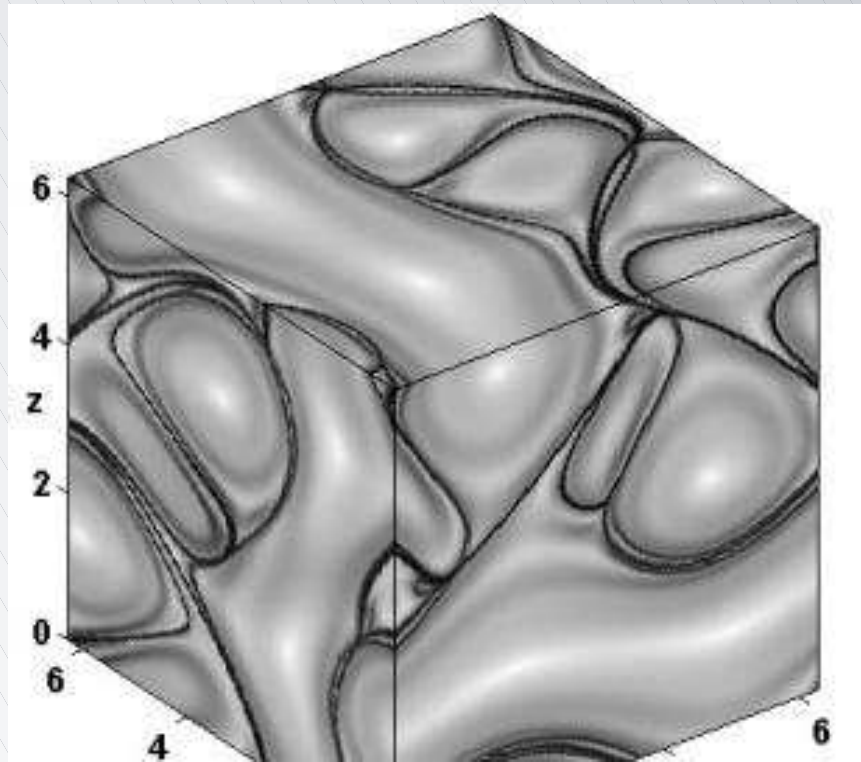
$$|\mathbf{x}_1 - \mathbf{x}_2|^2 = \delta x(t)^2 + \delta y(t)^2 + \delta z(t)^2$$

with  $\frac{d\delta z}{dt} = \delta w(x(t), y(t), z(t))$

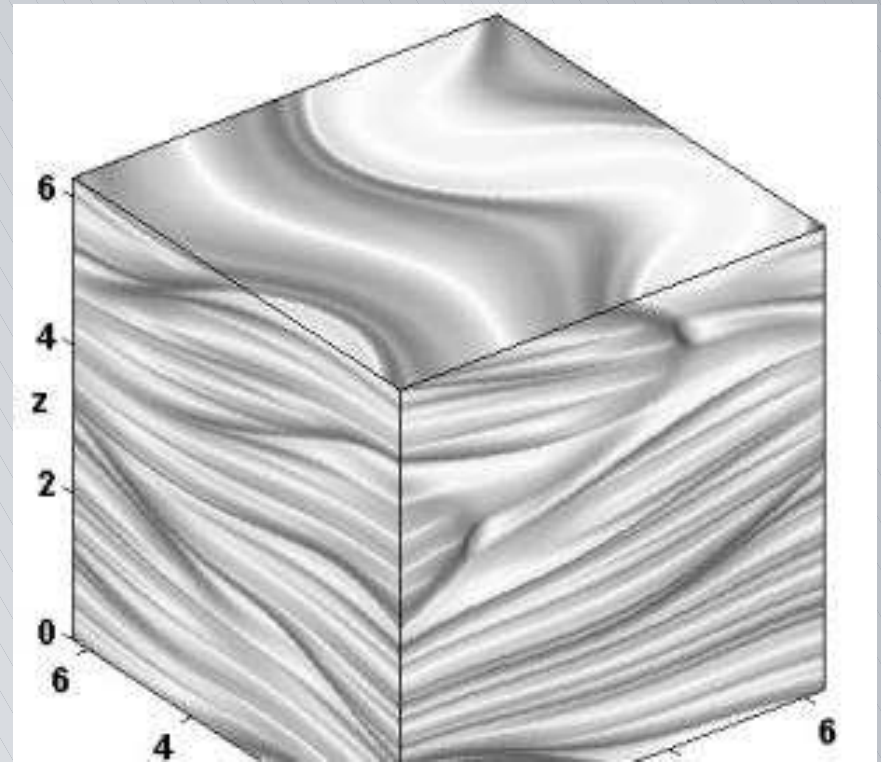
and  $\frac{d\delta x}{dt} = \delta u(x, y, z = z_0)\delta x + \frac{\partial u}{\partial z}\delta z$

- vertical motions
- vertical shears

## Three-dimensions: example of ABC flow



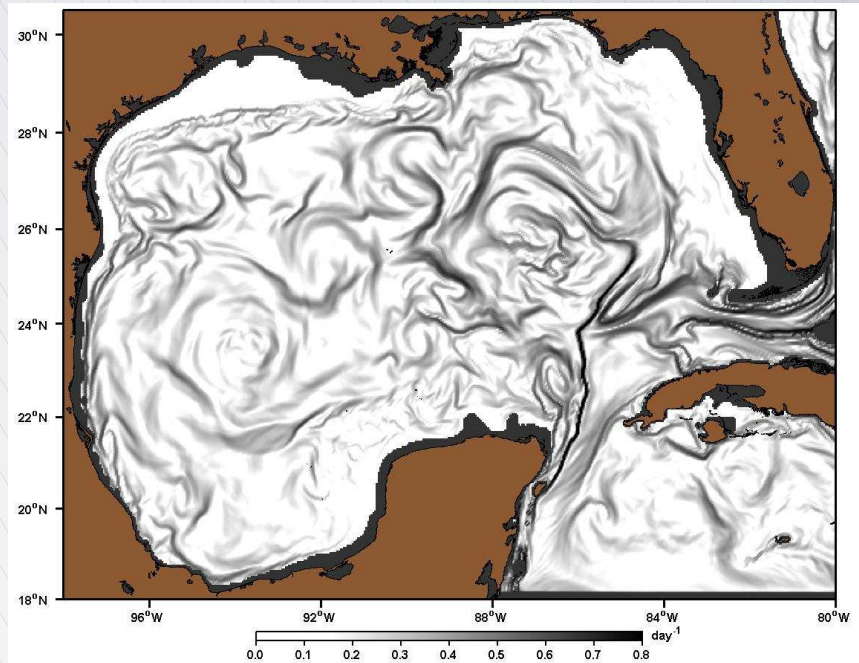
Lyapunov exponent  
computed in 3D



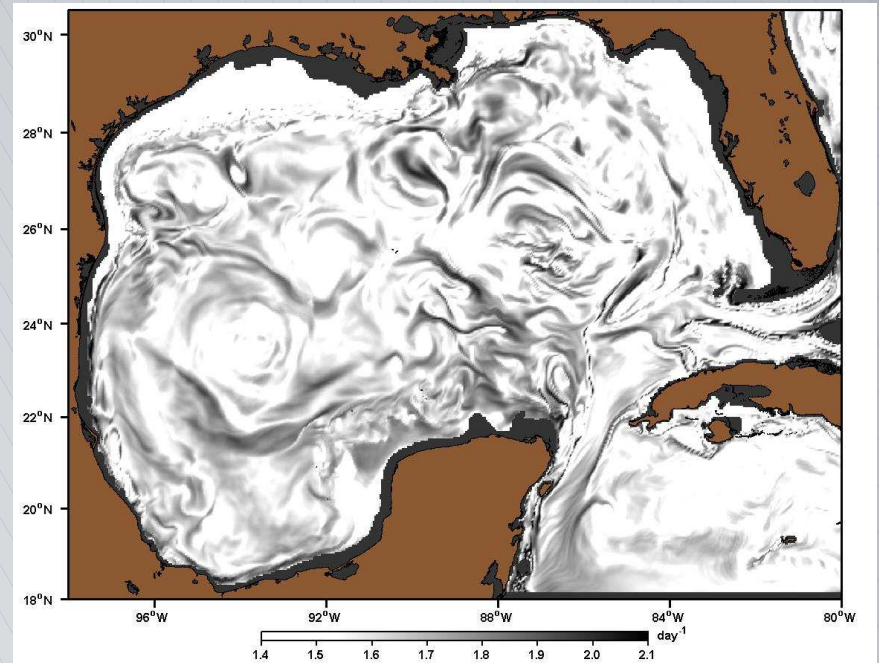
Lyapunov exponent  
computed in 2D

For a purely 3D flow, large difference of FSLE

# Example of a GCM (HYCOM)



Lyapunov exponent  
computed in 2D



Lyapunov exponent  
computed in 3D

For a GCM, still some differences due to the presence of vertical shear  
(Lipphard et al. 2014)

⇒ **not obvious to define a quasi-2D barrier!**

# Active tracers

# Active tracers

## Active Tracers:

- advected by the flow
- retroact on dynamics

e.g

- Potential vorticity  
velocity and density linked to PV (through PV inversion)
- Temperature and salinity  
through density dynamics
- water vapor  
through condensation and latent heat release



# Potential vorticity mixing

$$\underbrace{\frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2}}_{\text{relative vorticity}} + \underbrace{\frac{\partial}{\partial z} \left( \frac{f_0^2}{N^2} \frac{\partial \psi}{\partial z} \right)}_{\text{vortex stretching}} = PV$$

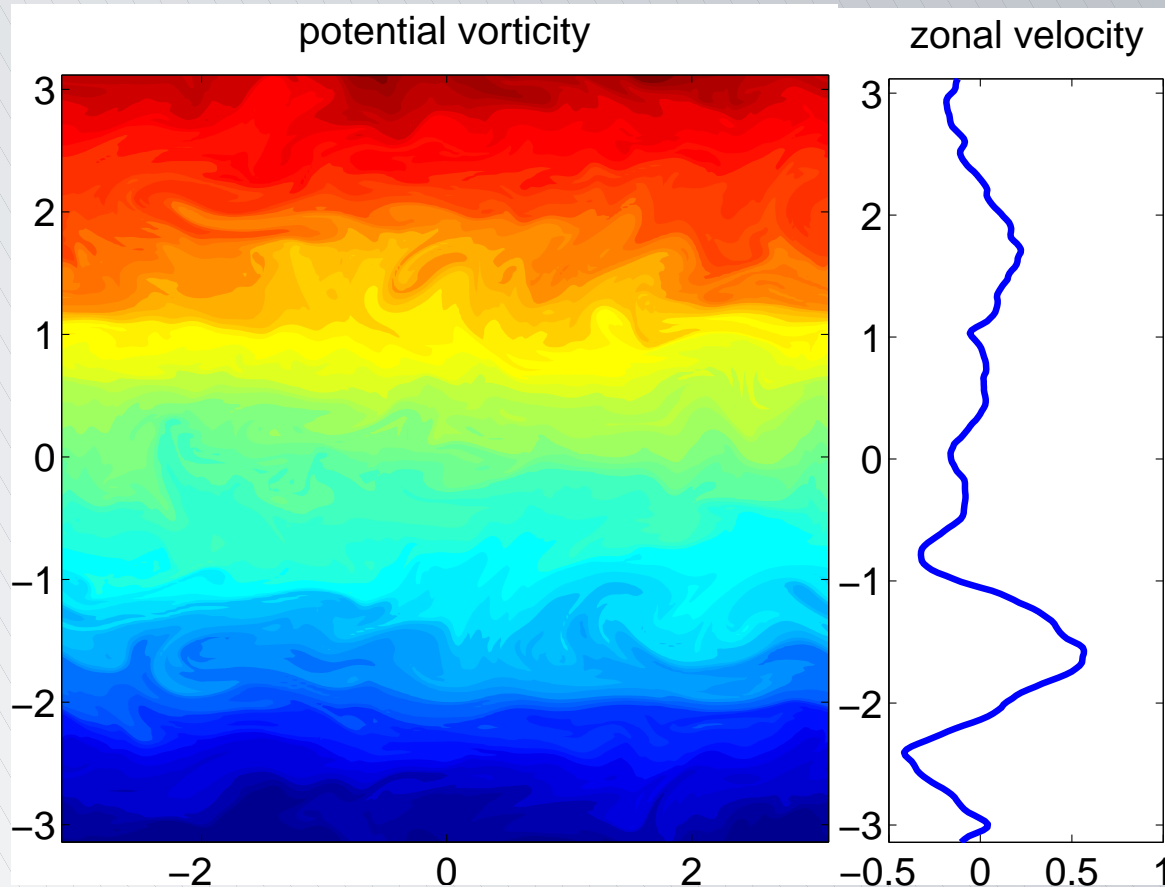
with  $u = -\frac{\partial \psi}{\partial y}$        $v = \frac{\partial \psi}{\partial x}$

Letting  $\langle \rangle_x$  zonal average

$$\frac{\partial^2 \langle u \rangle_x}{\partial y^2} + \frac{\partial}{\partial z} \left( \frac{f_0^2}{N^2} \frac{\partial \langle u \rangle_x}{\partial z} \right) = -\frac{\partial \langle PV \rangle_x}{\partial y}$$

PV Mixing  $\Rightarrow$  PV gradient  $\Rightarrow$  zonal jets

# Potential vorticity mixing ( $\beta$ -plane turbulence)

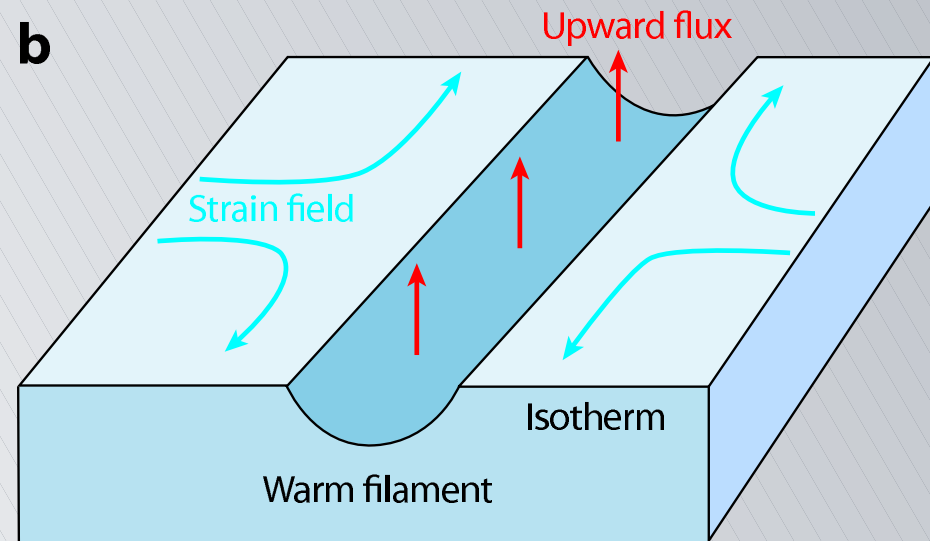
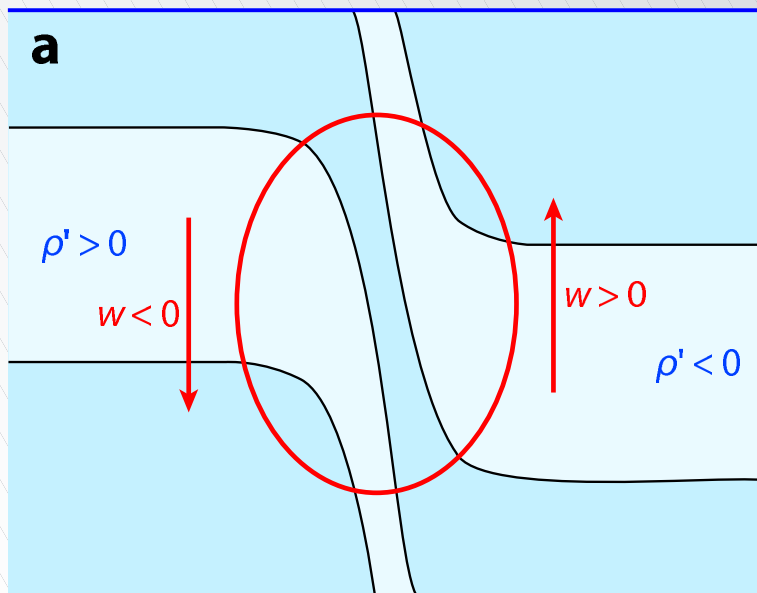


- PV homogenization and Strong PV gradients in between (PV staircases, McIntyre)
- zonal jets

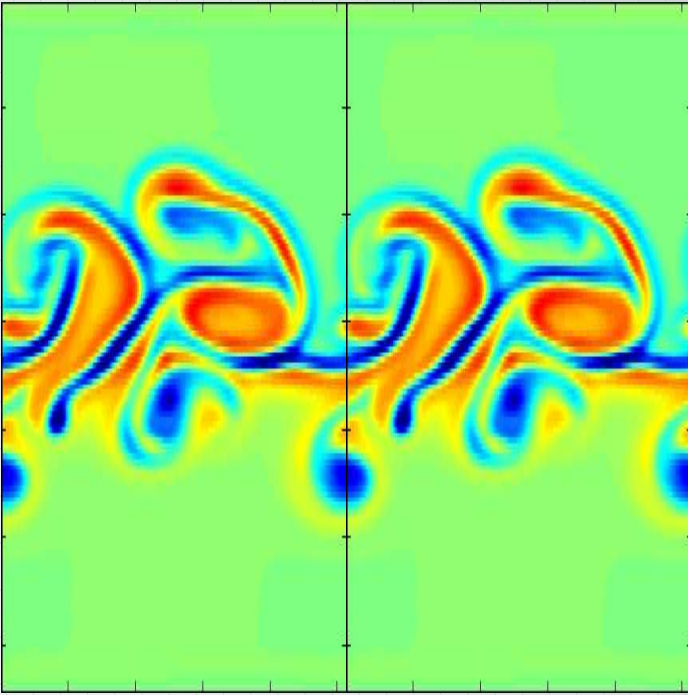
# Density fronts

**frontogenesis** = formation of horizontal density gradients

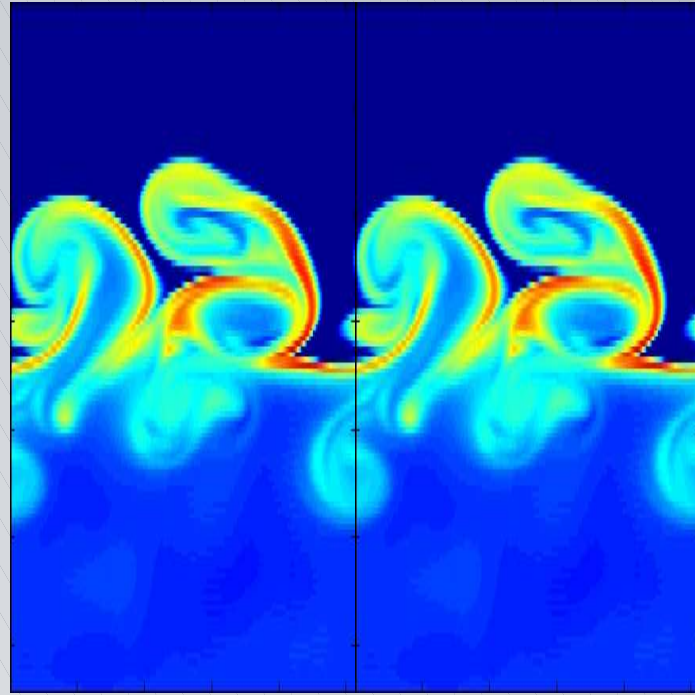
- linked to **stirring of density field** by mesoscale eddies
- tends to destroy thermal wind balance
- development of an ageostrophic circulation  
(in particular, **vertical velocities**)  
⇒ reestablishing thermal wind



# Restratification



surface vorticity



surface stratification  $N^2$

- horizontal density gradients  $\nabla_H \rho$
- high vorticities and vertical velocities
- restratification

## *Final remarks*

Cascade of tracers to small scales  $\Rightarrow$  *tracer gradients*

- Idea to study it in the **physical space**  
(not in the spectral space)
- Diagnose transport barriers and effective mixing

New dynamical processes:

- Stirring of tracers at a typical scale
  - **Nonlocal** effect of large-scale eddies (e.g. QG turbulence)
  - **Local** Effect of eddies at same scale (e.g. SQG turbulence)
- Differences in stirring properties and dispersion?
- Difference in dynamics and cascade of active tracers?