The background of the slide is a vector field plot. It consists of a grid of black arrows of varying lengths and directions, set against a color gradient that transitions from red at the top to blue at the bottom. A red outline is drawn on the plot, starting from the center and extending downwards and to the right, resembling a coastline or a specific region of interest. The text is overlaid on this background.

Submesoscale stirring by balanced and unbalanced flows

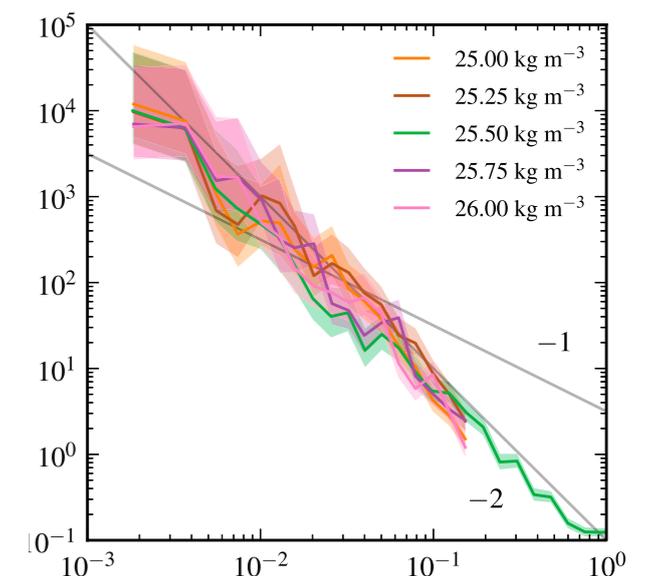
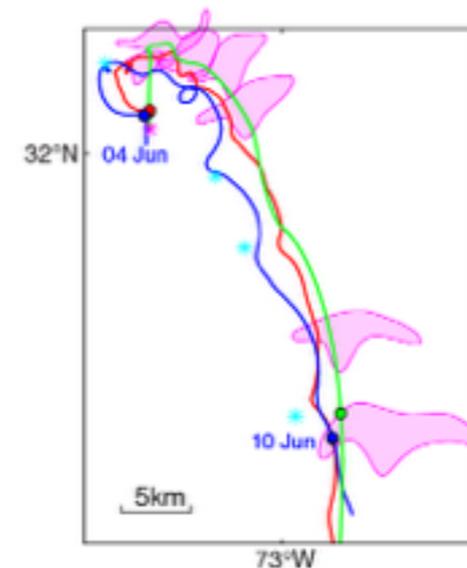
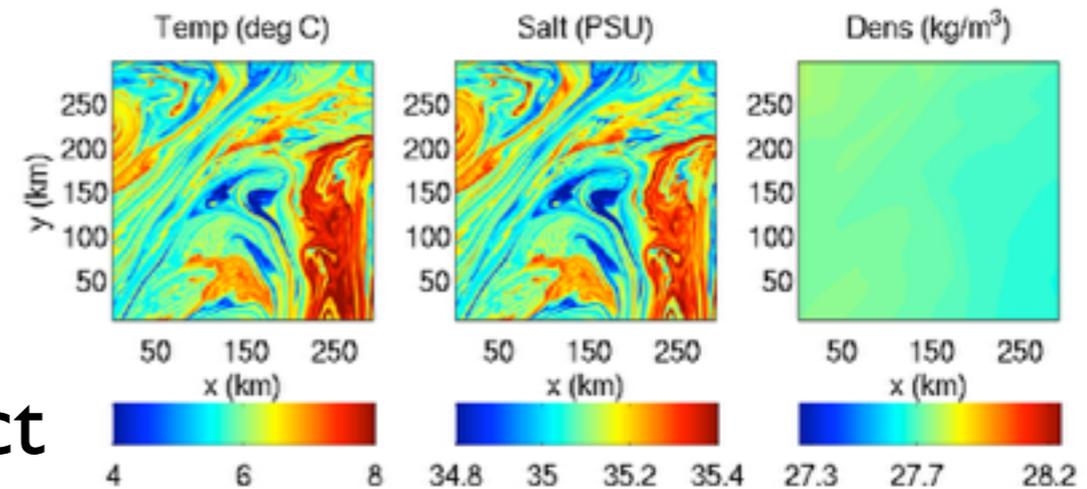
Shafer Smith (Courant/NYU)
with collaborators

John Taylor (DAMTP), Raf Ferrari (MIT),
Jeffrey Early (NWRA)

24 June 2014
IFREMER

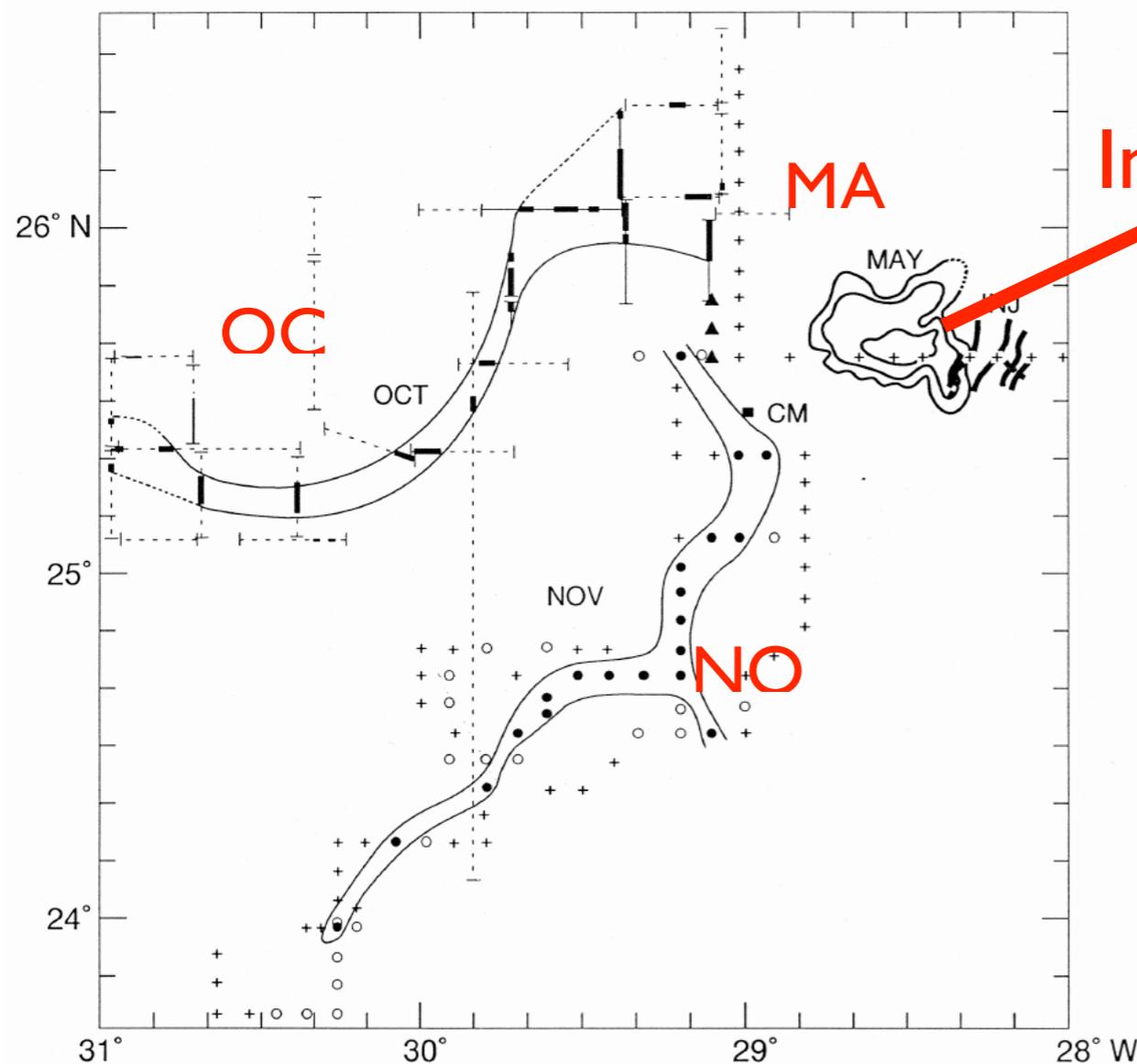
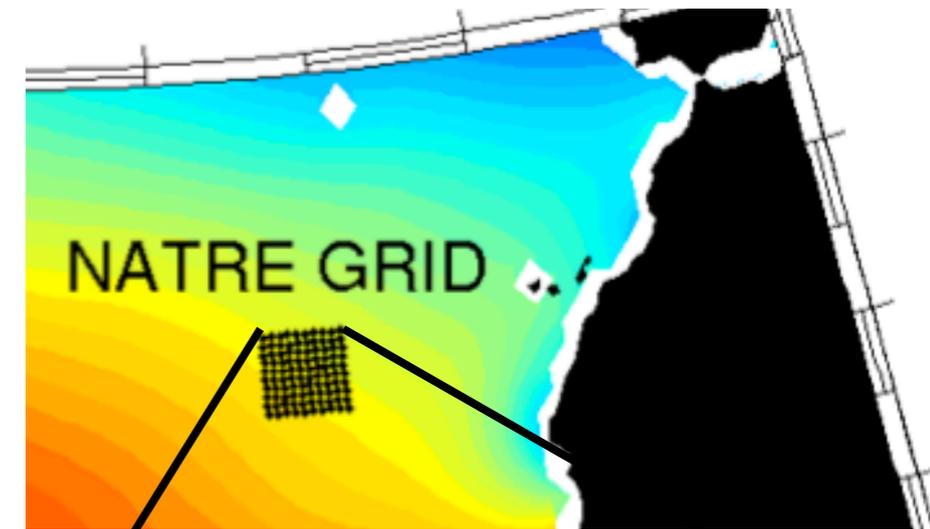
Observed everywhere:

- ▶ T/S exhibit high 3D submesoscale variance, but compensate in their effect on density
- ▶ Dye spreading experiments imply submesoscale isopycnal diffusivity $\kappa \sim O(1) \text{ m}^2/\text{s}$
- ▶ [Tracers show wavenumber power spectra with power law k^{-1} to -2 , at all depths]
- ▶ **What stirring mechanisms are at work?**

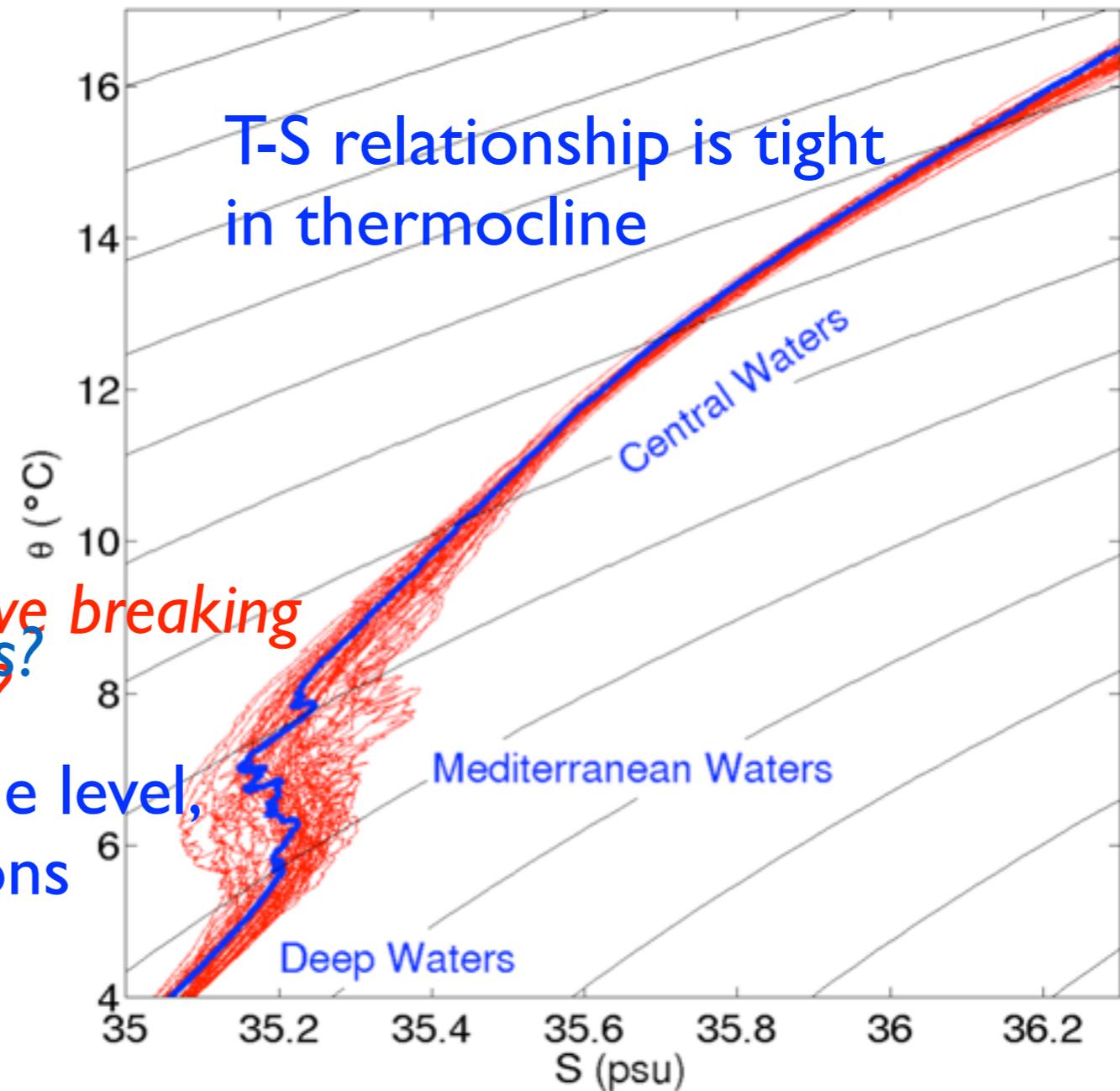
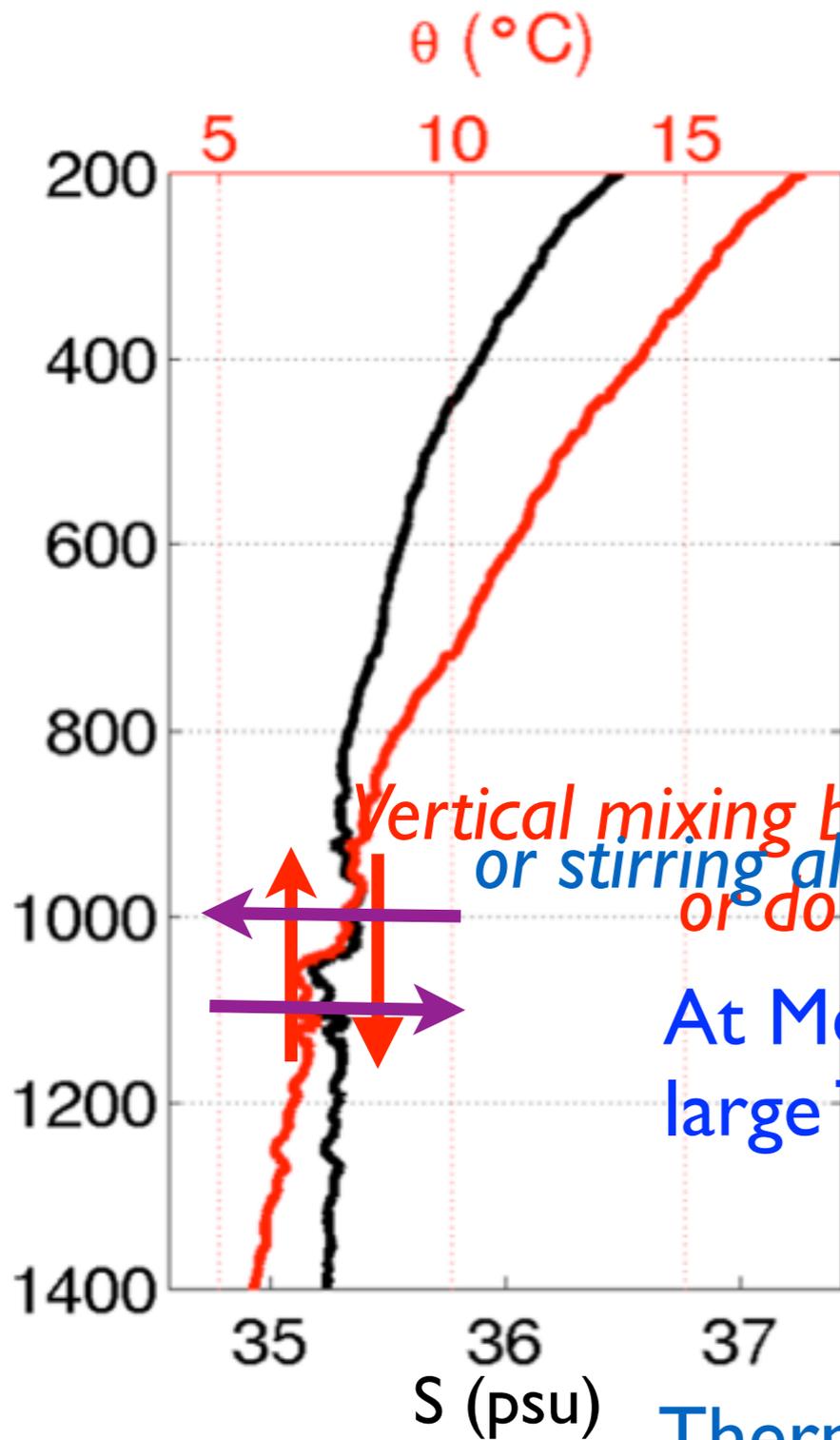


1. Generation of compensated submesoscale T-S variance by mesoscale stirring as predicted by Klein, Treguier & Hua '98 [w/ R. Ferrari & J. Taylor]
2. Internal waves themselves can disperse tracers (!)
[w/ J. Early]

- ▶ HRP survey on 400 km² grid: 127 T/S/shear profiles (0-4000m)
- ▶ Moored current array: (200-3500m)



- ▶ SF₆ patch released at 300m, surveyed over next 30 months



Thermohaline fluctuations have little signature on density: compensated fronts of 1-5km in horizontal, 10-100m in vertical.

\Rightarrow *Stirring along isopycnals will effectively stir tracers inclined to isopycnals, not density (Klein, Treguier and Hua 1998)*

Three-dimensional stirring of thermohaline fronts

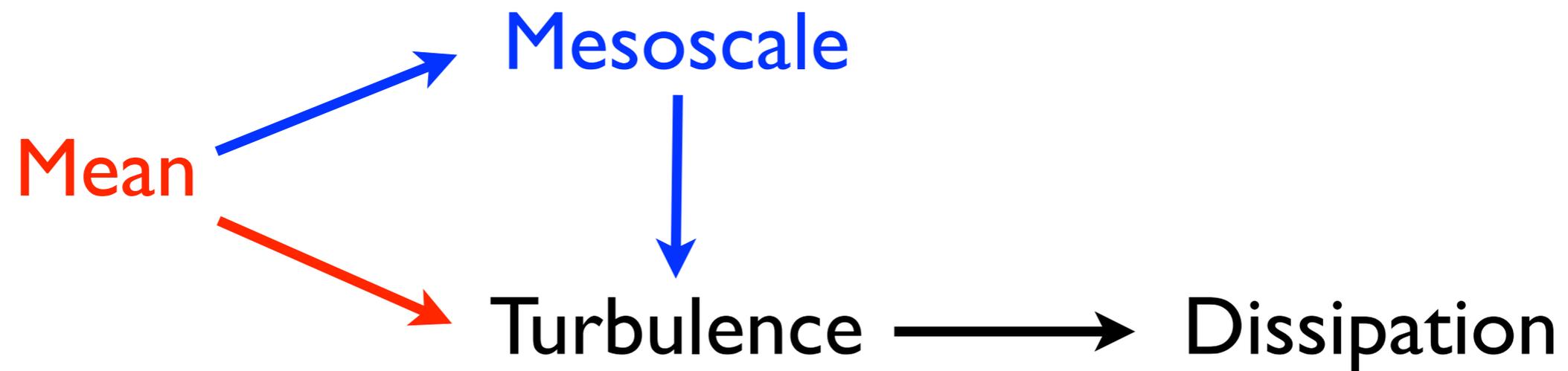
by Patrice Klein¹, Anne-Marie Treguier¹ and Bach Lien Hua¹

ABSTRACT

This study investigates the stirring of the thermohaline anomalies in a fully turbulent quasi-geostrophic stratified flow. Temperature and salinity fields are permanently forced at large scales and are related to density by a linear equation of state. We show, using some inherent properties of quasi-geostrophic turbulence, that the 3-D ageostrophic circulation is the key dynamical characteristic that governs the strength and the spatial distribution of small-scale thermohaline fronts that are strongly density compensated. The numerical simulations well illustrate the formation by the mesoscale eddy field of sharp thermohaline fronts that are mainly located in the saddle regions and around the eddy cores and have a weak signature on the density field. One important aspect revealed by the numerical results is that the thermohaline anomalies experience not only a direct horizontal cascade but also a significant vertical cascade. One consequence of this 3-D cascade is that the ultimate mixing of the thermohaline anomalies will not be necessarily maximum at the depth where the large-scale temperature and salinity anomalies are maximum. Some analytical arguments allow us to identify some of the mechanisms that drive this 3-D cascade.

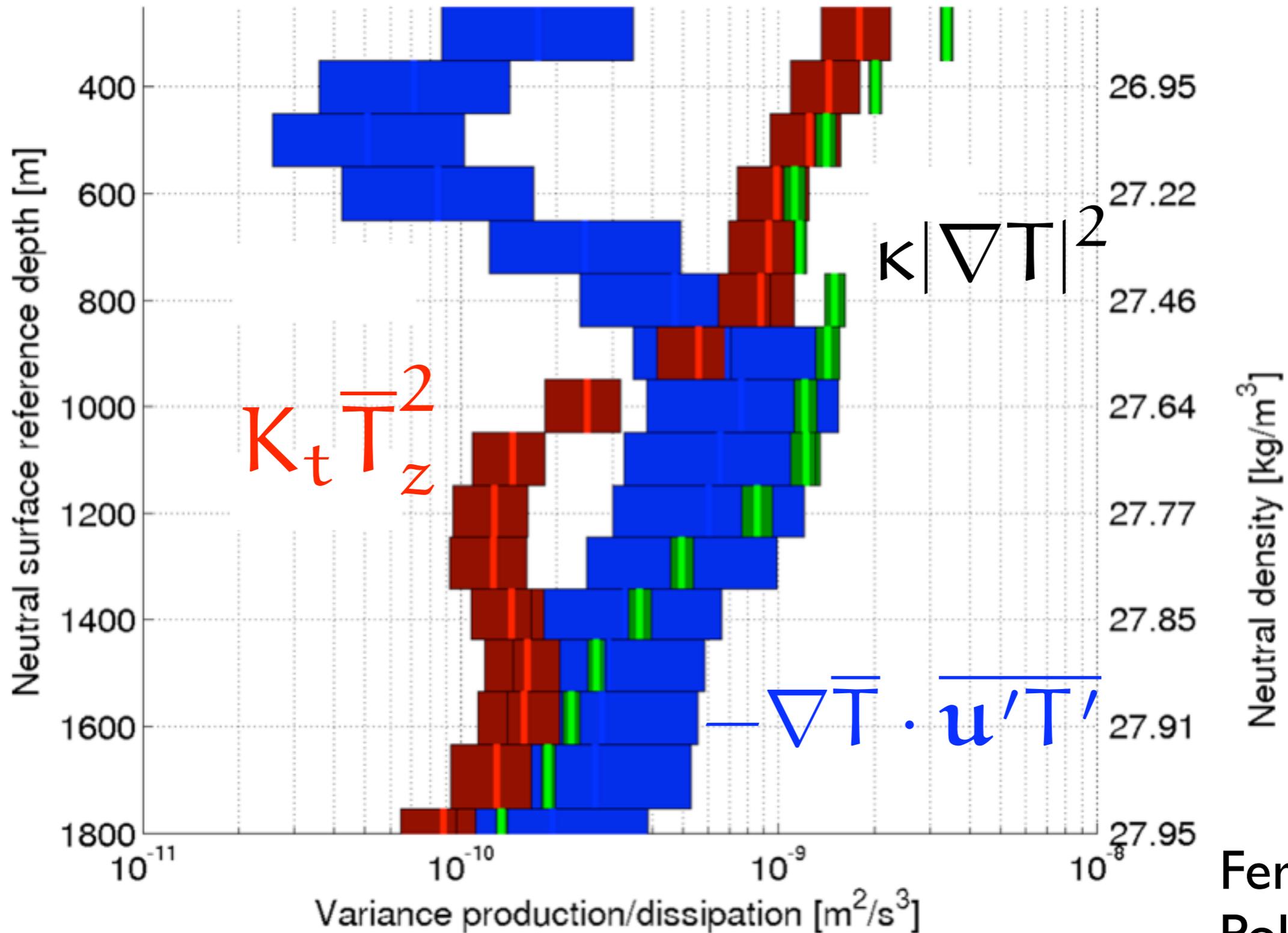
Where large scale lateral gradients are present, mesoscale stirring generates variance.

Med Salt level (lateral gradients present)



Central waters (weak lateral gradients)

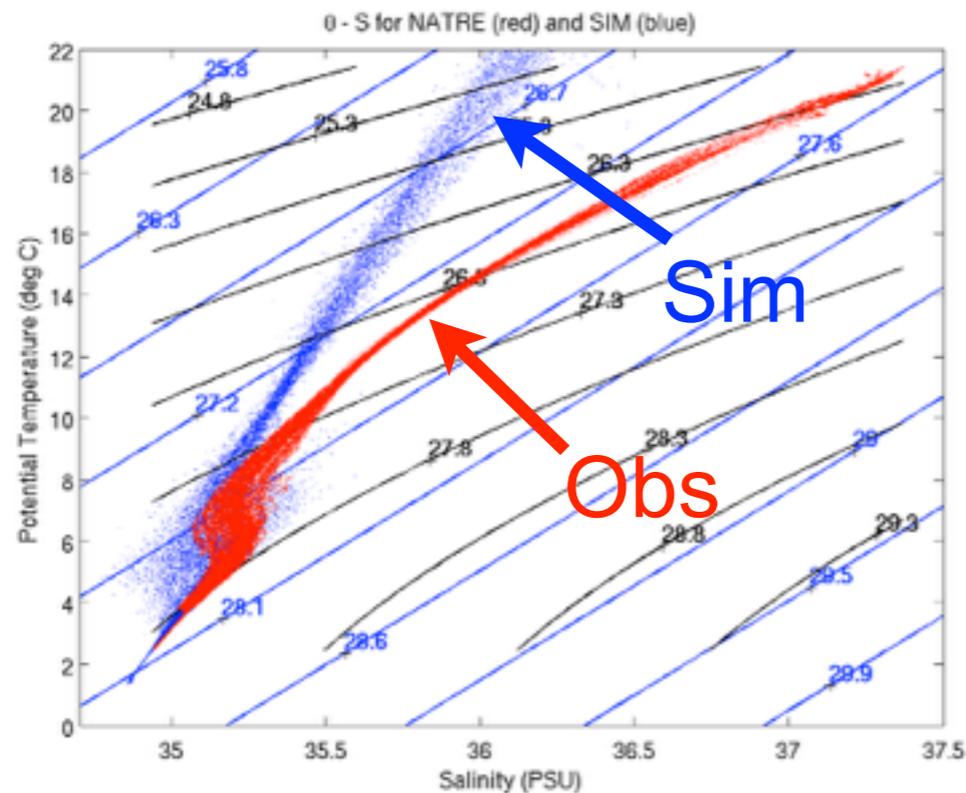
$$-\nabla\bar{T} \cdot \overline{\mathbf{u}'T'} + K_t \bar{T}_z^2 = \kappa |\nabla T|^2$$



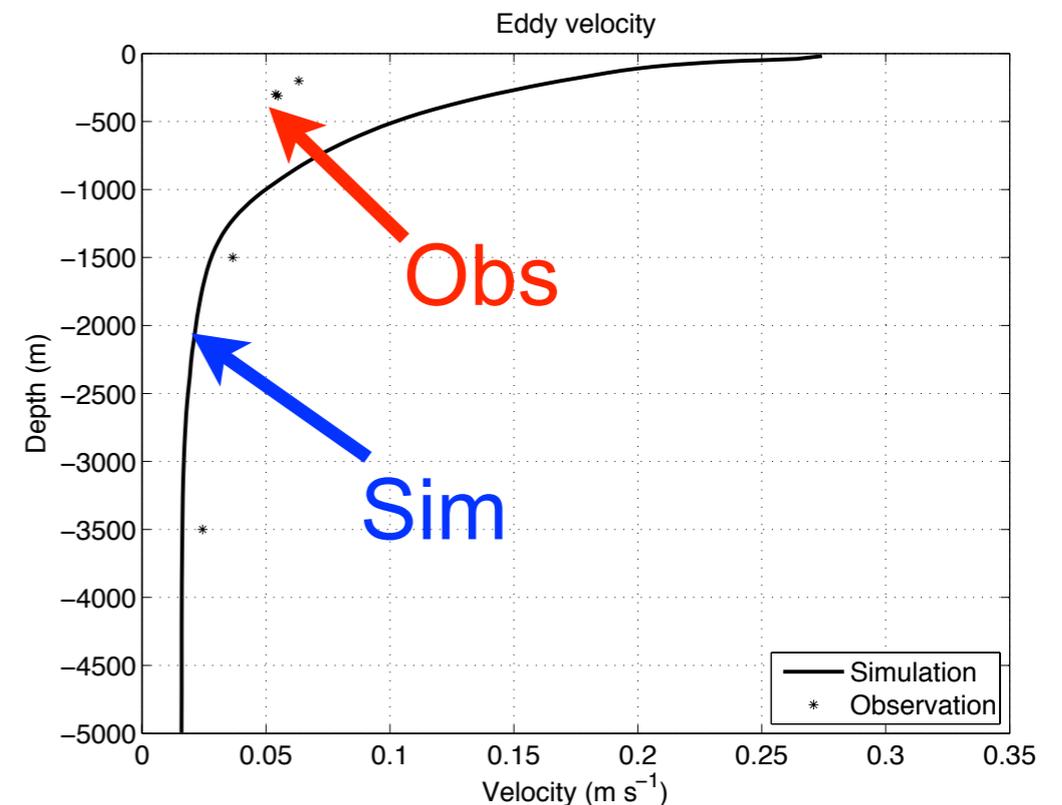
Ferrari and Polzin (2005)

- ▶ QG simulation on 1000^2 km domain, 1 km resolution, with 80 vertical levels of 35-120m
- ▶ Mean T/S from HRP; density from nonlinear EOS; spice from linear EOS applied at Med Salt Tongue level; mean velocity from moored array

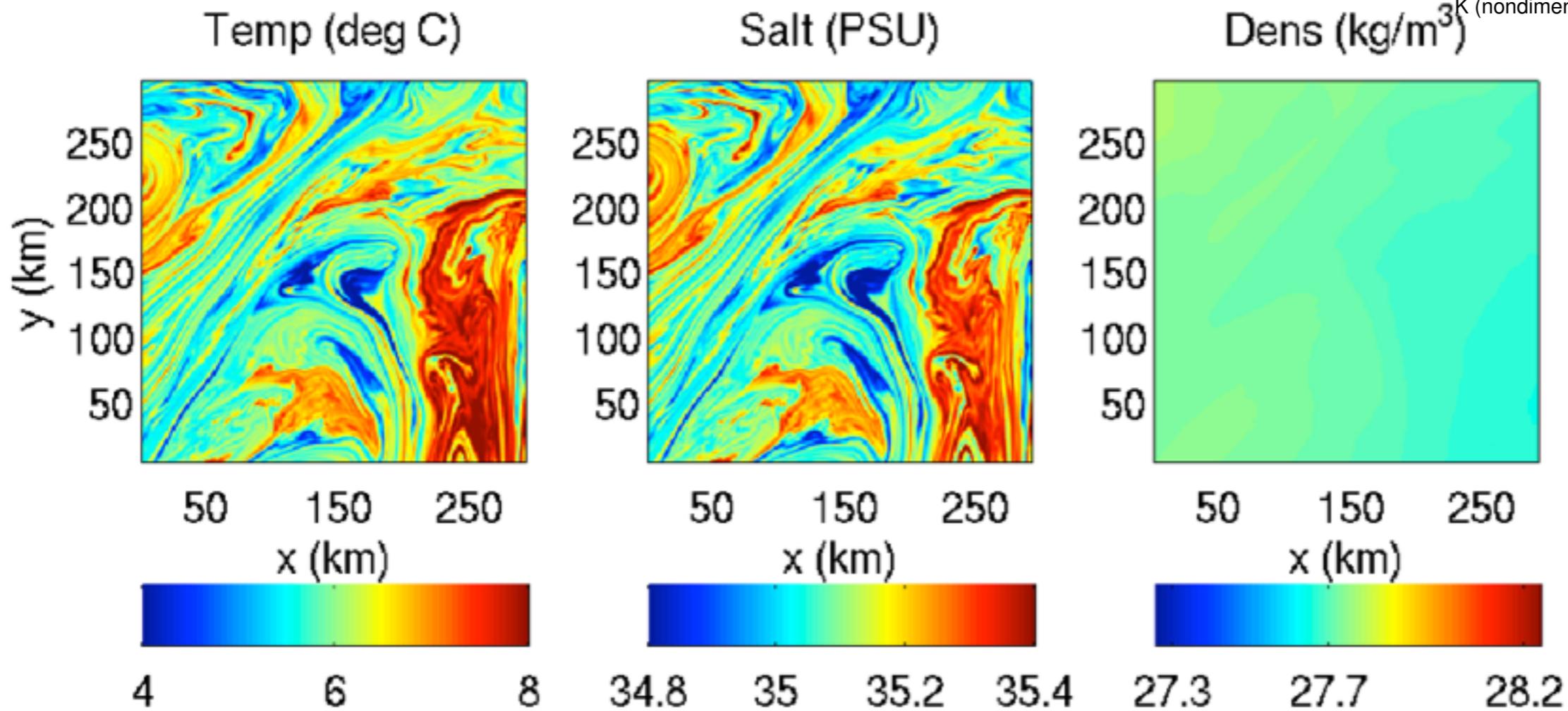
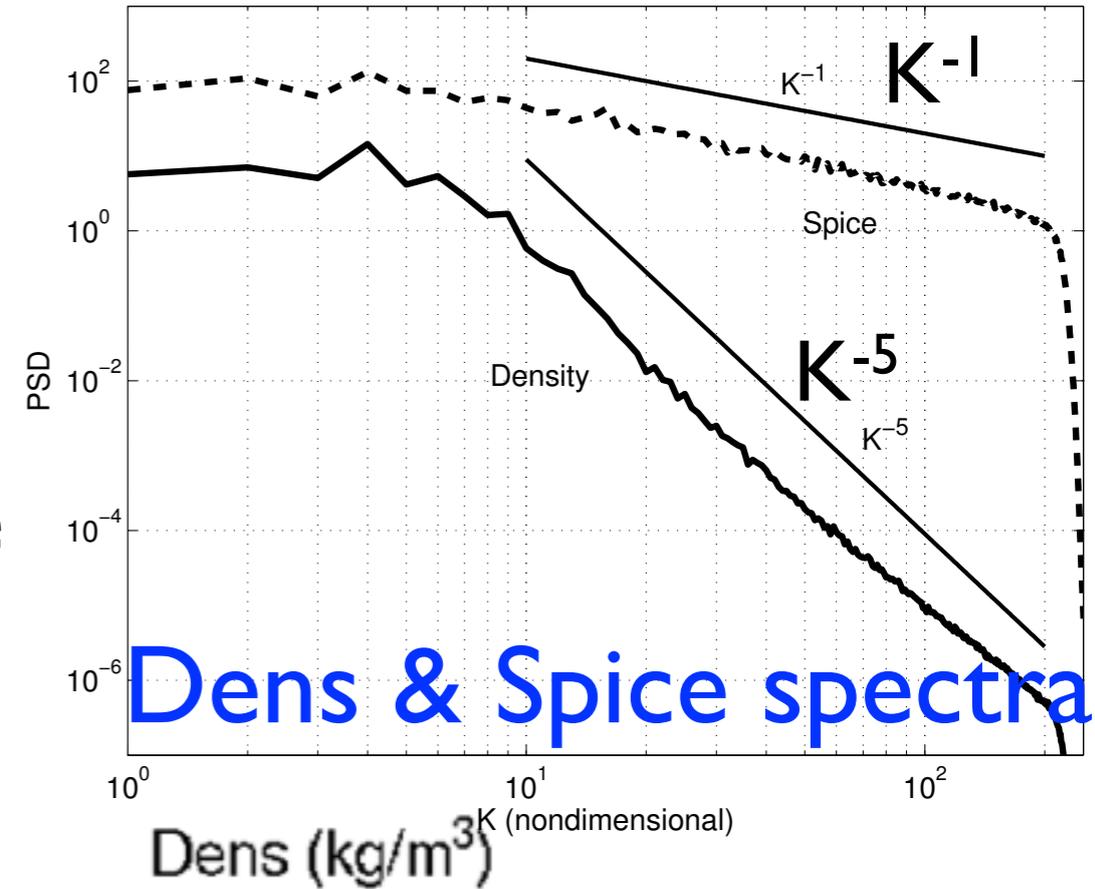
T-S distribution



Eddy velocity

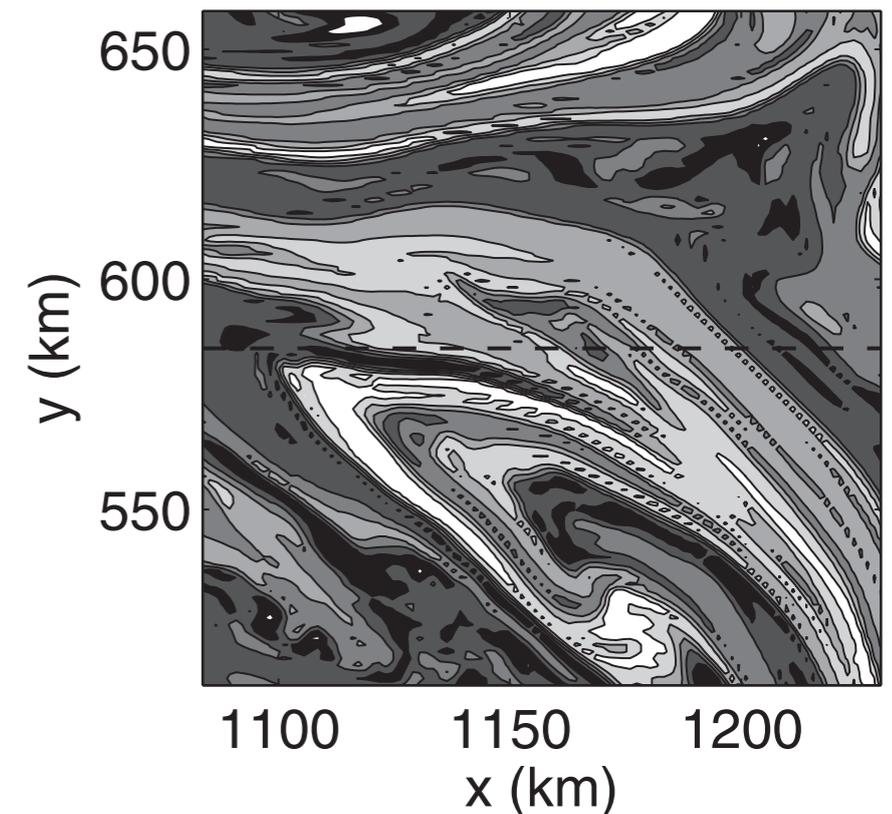


- ▶ Spectra: Tracer $\sim K^{-1}$, density $\sim K^{-5}$
- ▶ T, S passive tracers \Rightarrow filamentation compensated in effect on density
- ▶ Interior: little density gradient, ample tracer variance along isopycnals

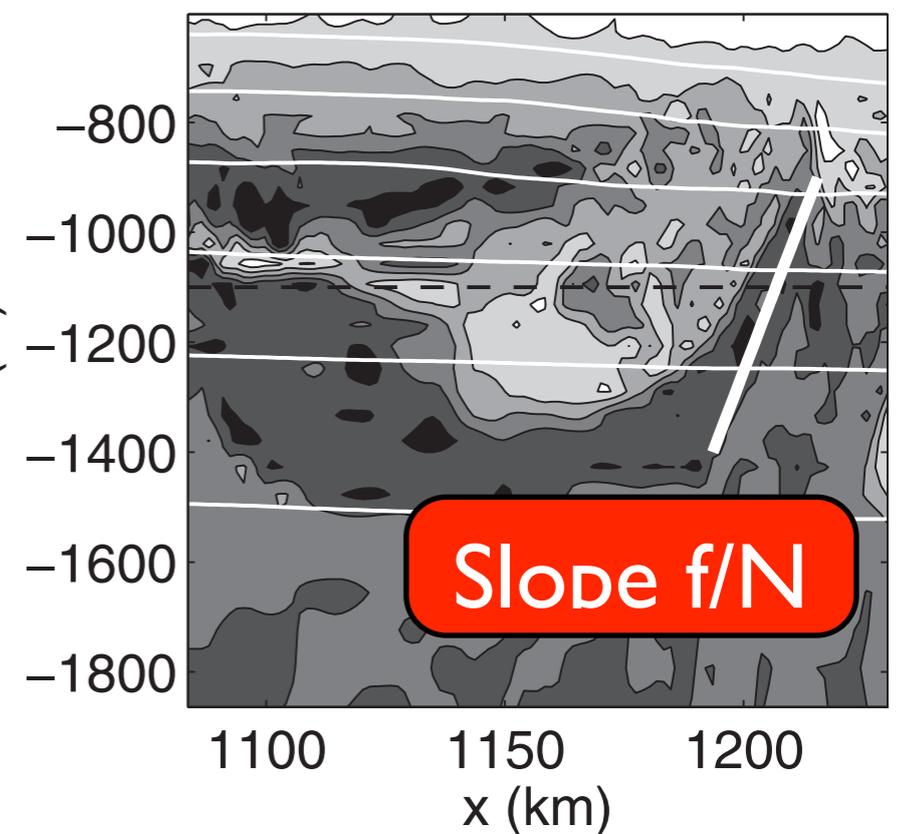


- ▶ 3D cascade => ample strain and shear at submesoscales
- ▶ Shear/Strain $\sim N/f$ (independent of scale)
- ▶ Tracer (T & S) filaments are 3D, with aspect ratios following shear/strain $\sim N/f$

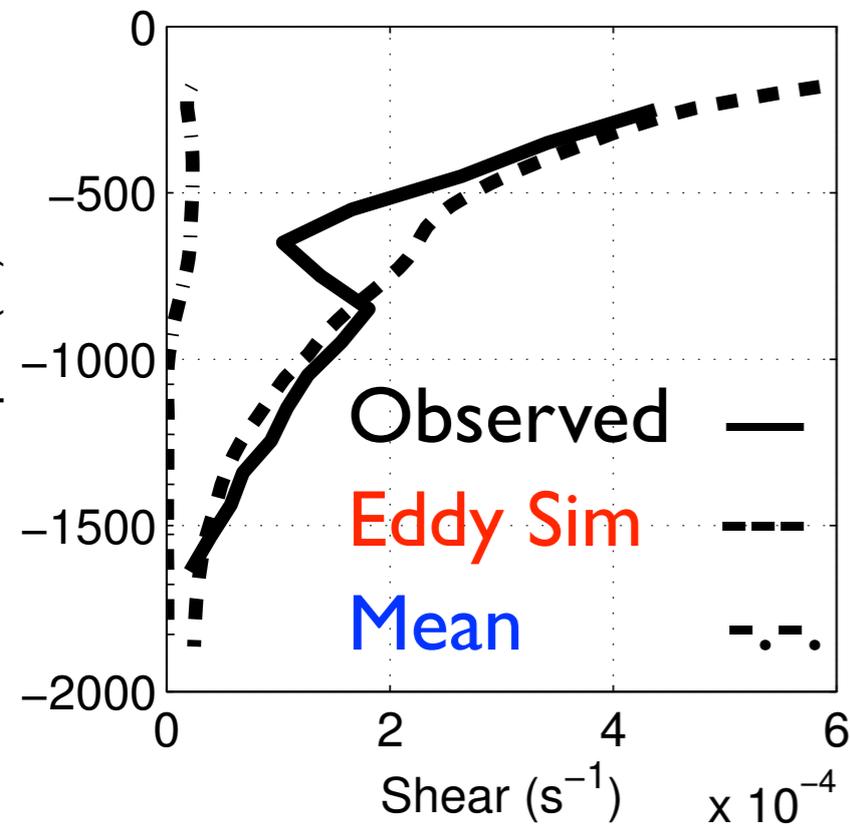
Salinity (PSU) at $z = -1100$ m



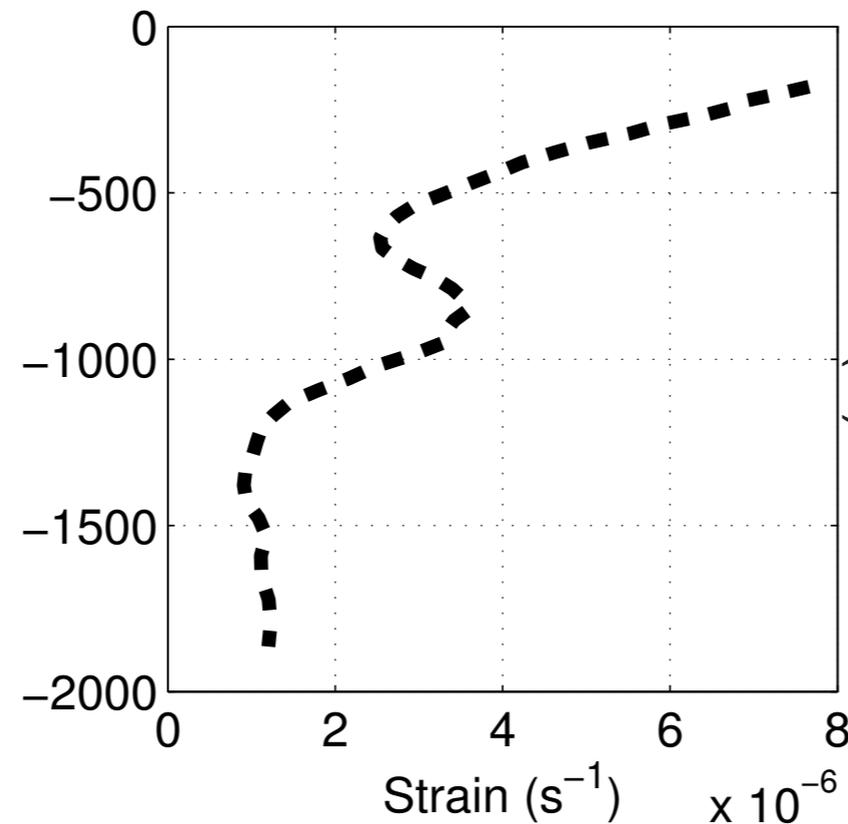
Salinity (PSU) at $y = 585$ km



Shear



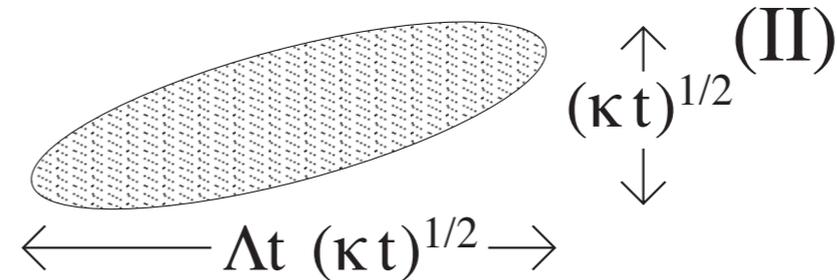
Strain



Tracer blob evolves with diffusion and velocity:

$$\begin{array}{c} \hat{\phantom{(\kappa t)^{1/2}}} \\ (\kappa t)^{1/2} \text{ } \odot \text{ (I)} \\ \check{\phantom{(\kappa t)^{1/2}}} \\ \langle (\kappa t)^{1/2} \rangle \end{array}$$

$$\mathbf{u} = (\sigma x, -\sigma y + \Lambda z, 0)$$

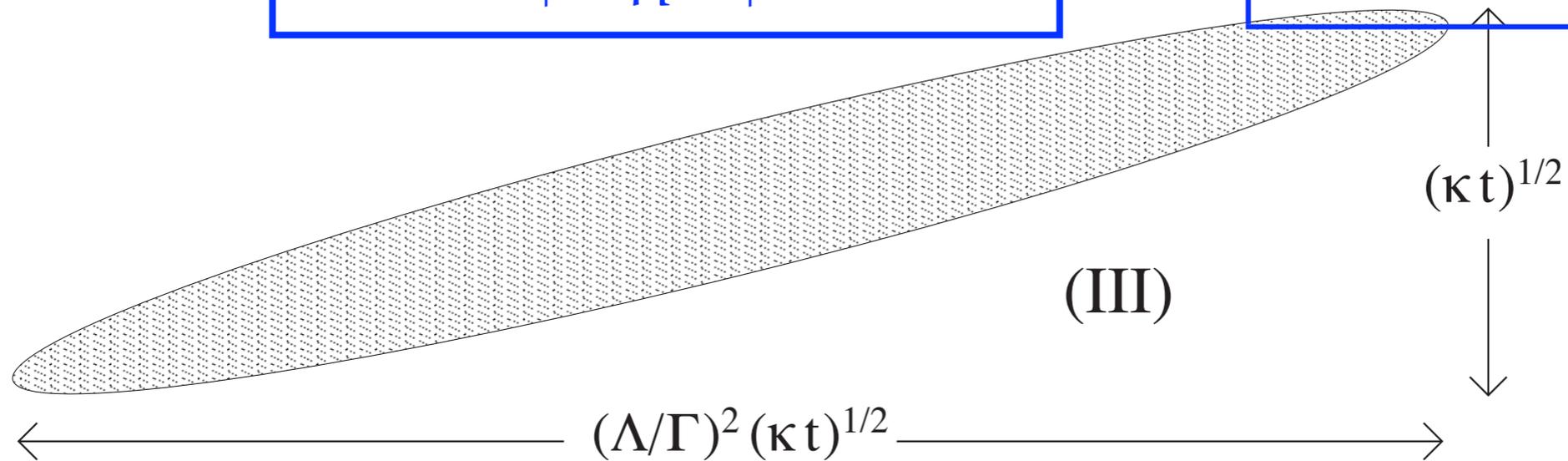


Haynes (2001)

At long times:

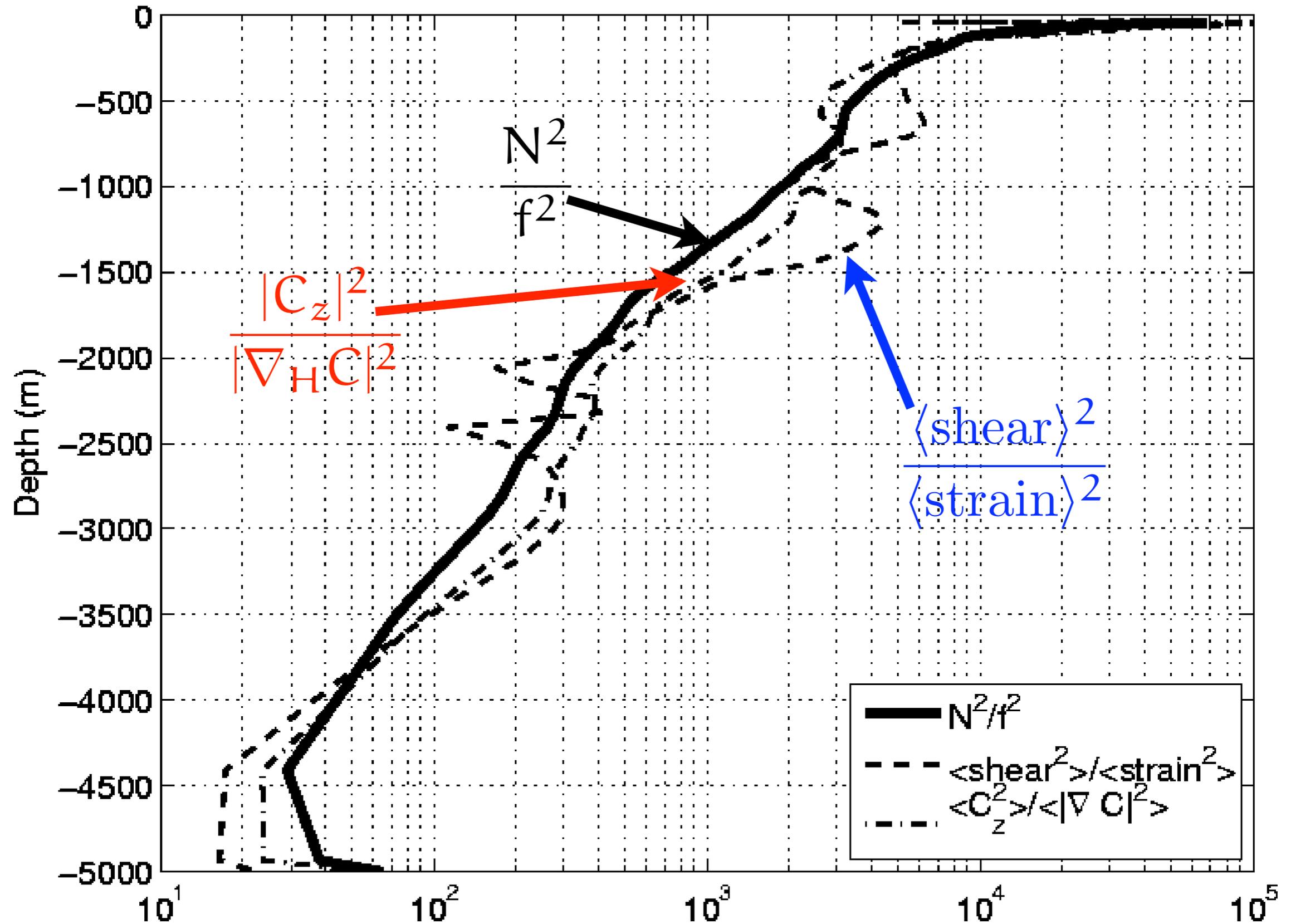
$$\alpha^2 \equiv \frac{|C_z|^2}{|\nabla_H C|^2} \sim \frac{\Lambda^2}{\sigma^2}$$

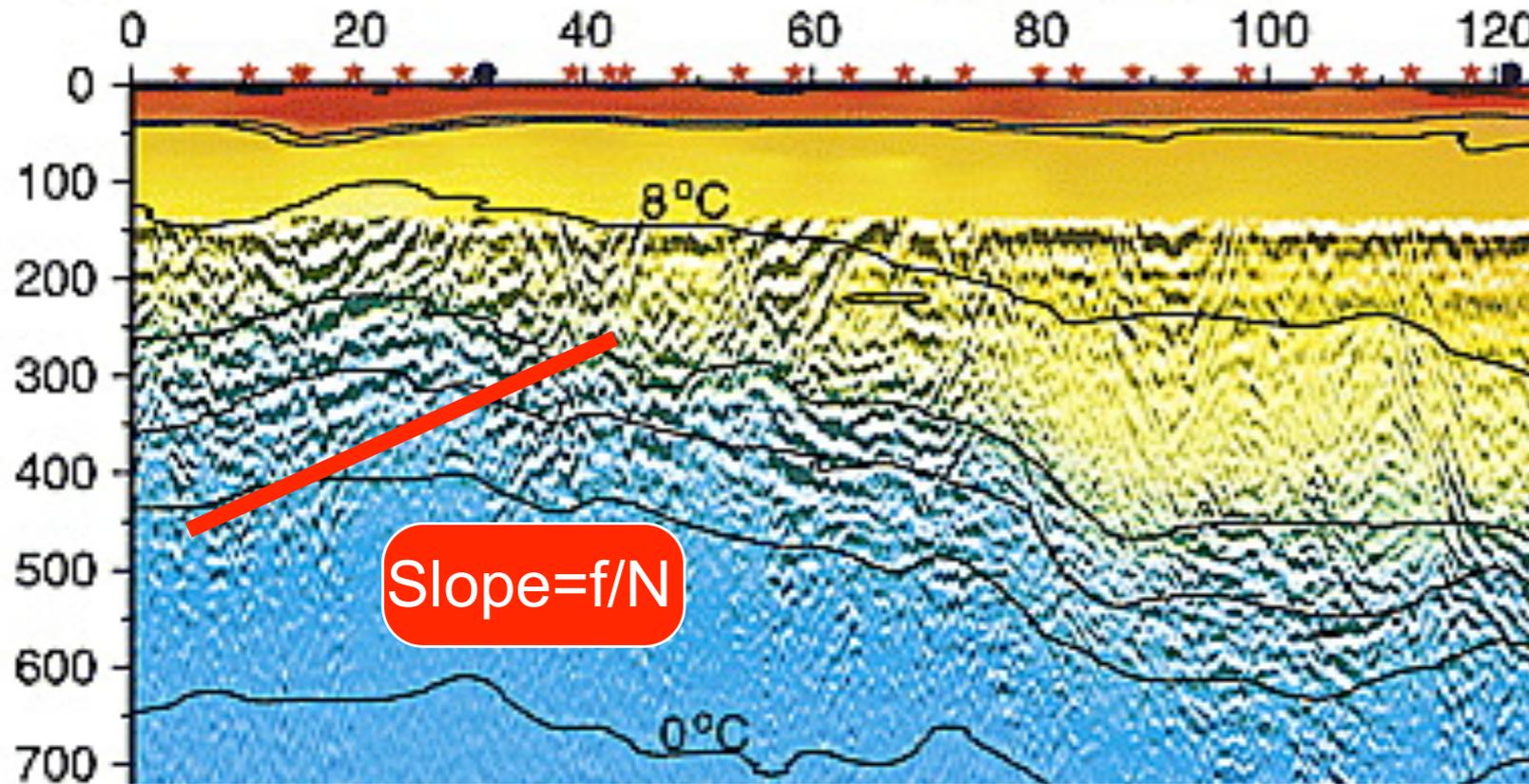
$$\kappa_H \sim \alpha^2 \kappa$$



Geostrophic stirring field has

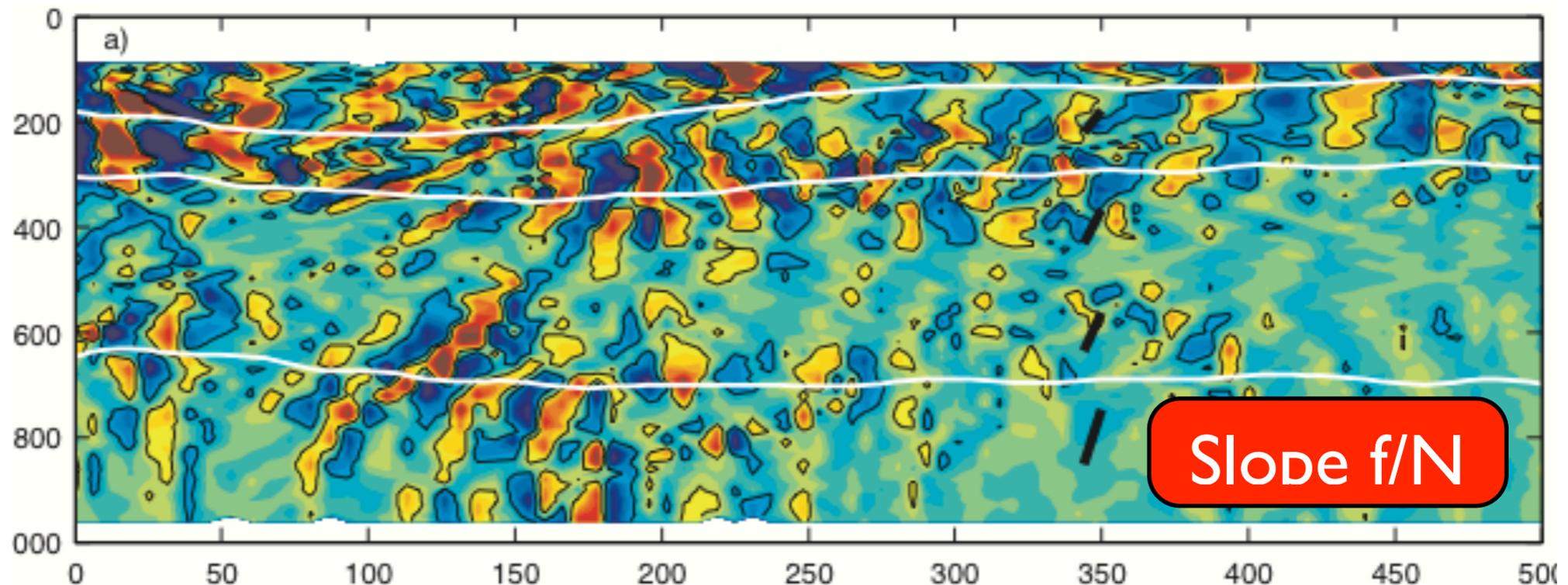
$$\frac{\Lambda^2}{\sigma^2} \sim \frac{N^2}{f^2}$$





Seismic observations of temperature (Nandi et al 04)

2.5 years glider data along central Pacific track (Cole & Rudnick 12)



- ▶ Mesoscale stirring produces T-S intrusions consistent with those found in NATRE
- ▶ Variance production by mesoscale stirring sufficient to explain measured turbulent dissipation at MST level
- ▶ Vertical diffusion can set observed tracer filament widths: **Eddy stirring linked to small-scale turbulence**
- ▶ W/ J. Taylor: identical 3D periodic QG and Boussinesq simulations show mesoscale controls stirring, even at high Ro , when Bous model forms $k^{-5/3}$ submesoscale energy spectrum

Start with flow in geostrophic balance: $\left\{ \begin{array}{l} u = \frac{M^2}{f m_0} \sin(l_0 y) \sin(m_0 z) \\ b = \frac{M^2}{l_0} \cos(l_0 y) \cos(m_0 z) + N^2 z \end{array} \right.$

Simulation 1

$$Ro = M^2 / N f = 0.125$$

$$Ri = N^2 f^2 / M^4 = 64$$

$$N/f = 2$$

$$(N_x, N_y, N_z) = (512, 512, 128)$$

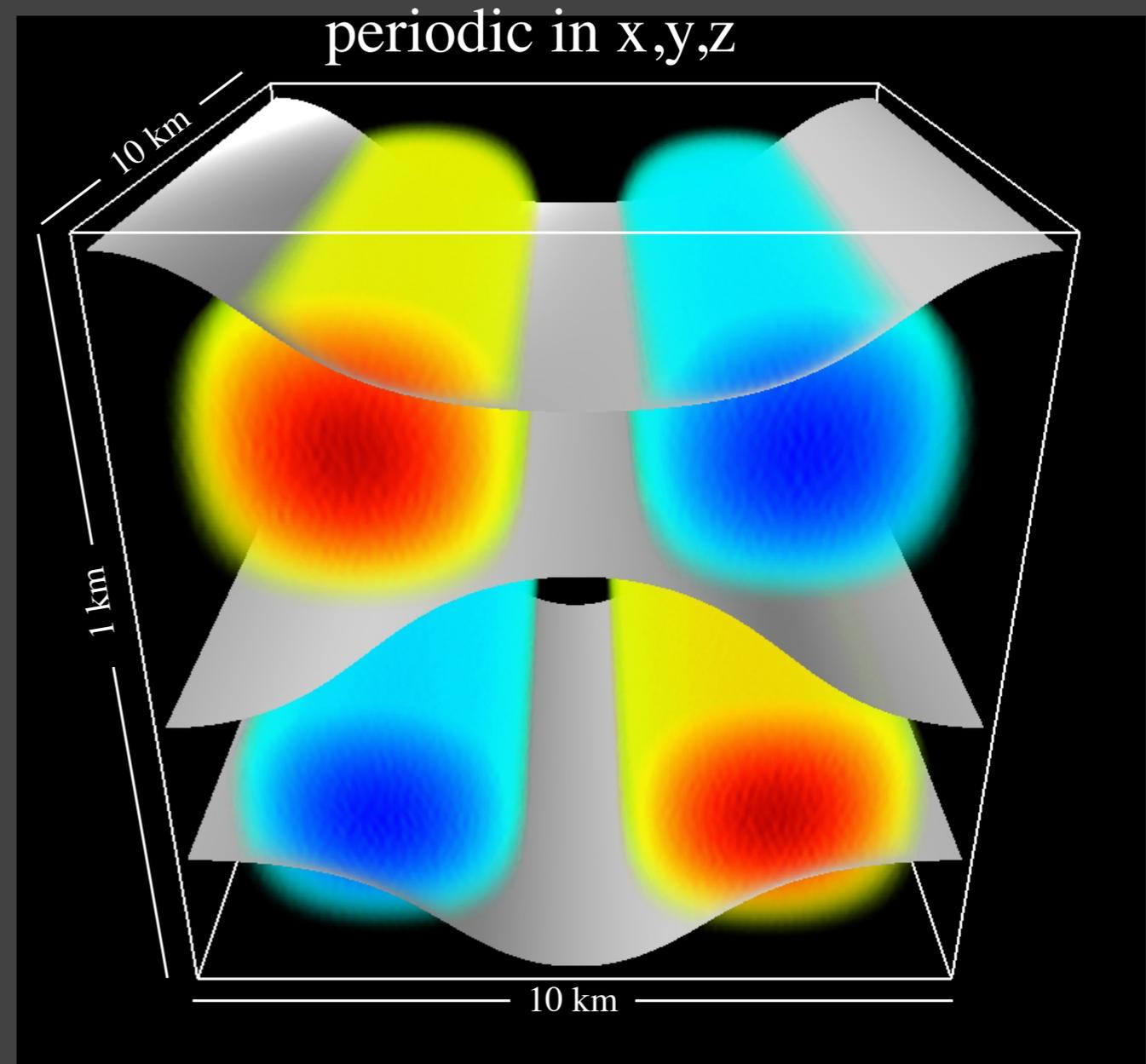
Simulation 2

$$Ro = M^2 / N f = 0.418$$

$$Ri = N^2 f^2 / M^4 = 5.76$$

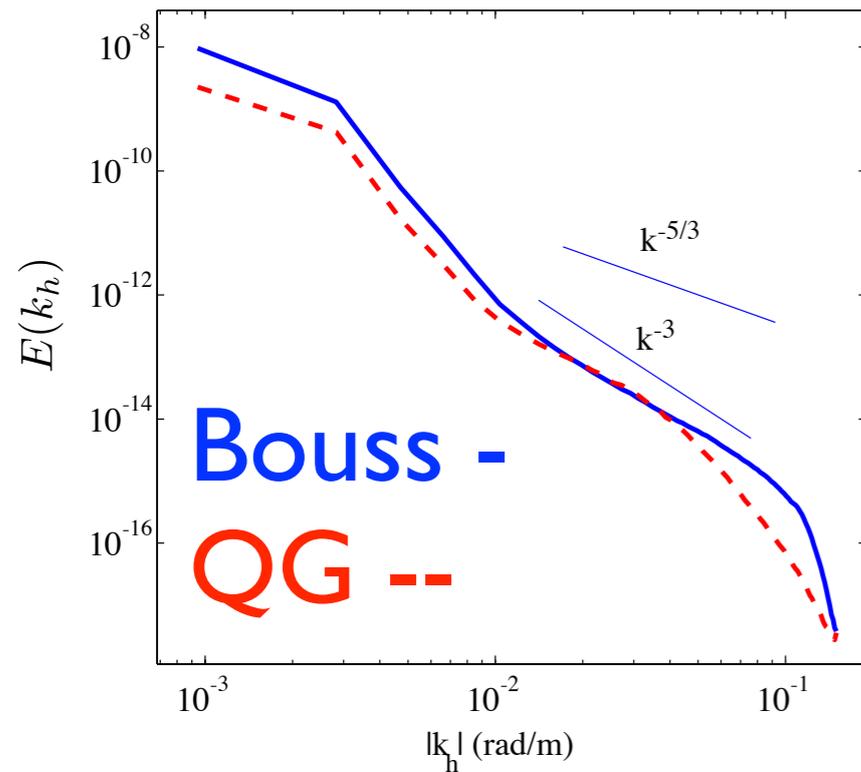
$$N/f = 5$$

$$(N_x, N_y, N_z) = (512, 512, 256)$$

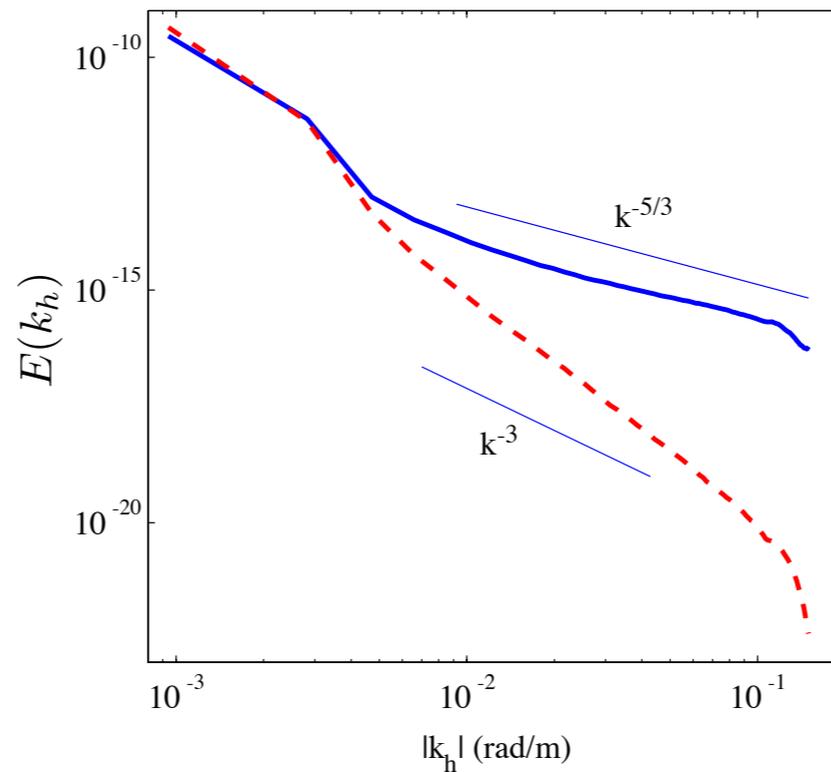


- ▶ Small scales more energetic in high Ro Boussinesq sim
- ▶ A forward energy cascade occurs for $L < 1\text{ km}$

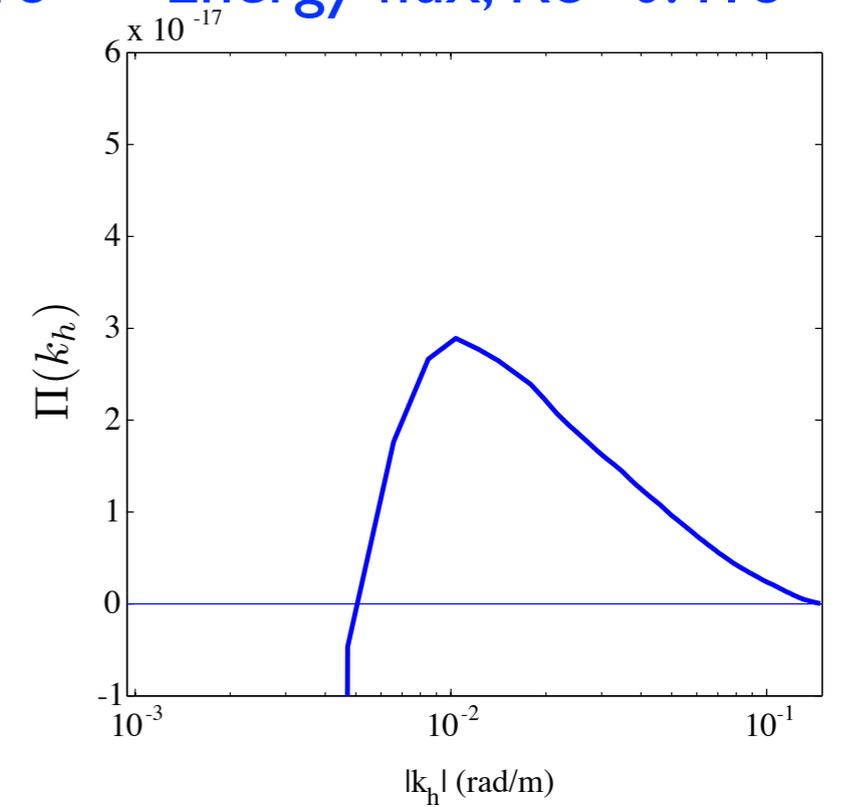
Energy spectrum, $Ro=0.125$



Energy spectrum, $Ro=0.418$



Energy flux, $Ro=0.418$

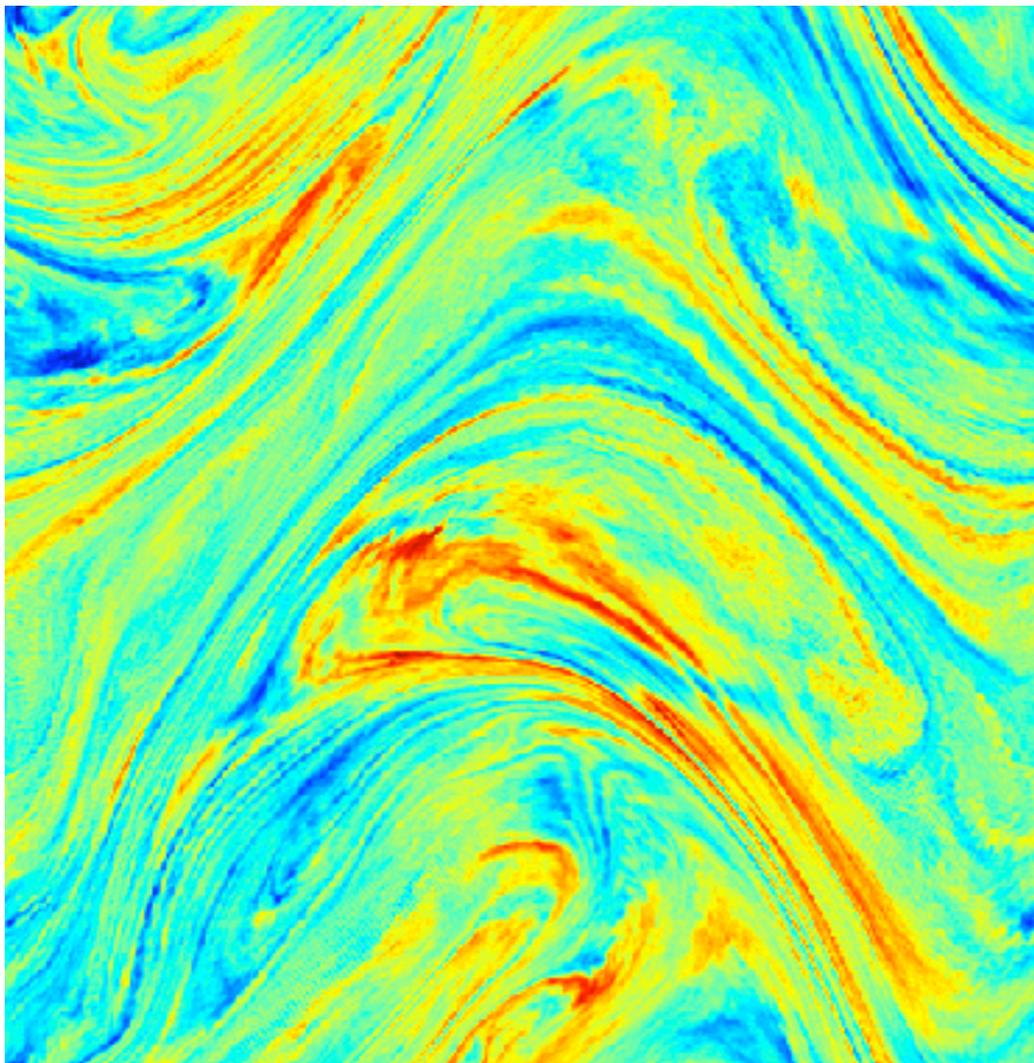


--- QG — Boussinesq

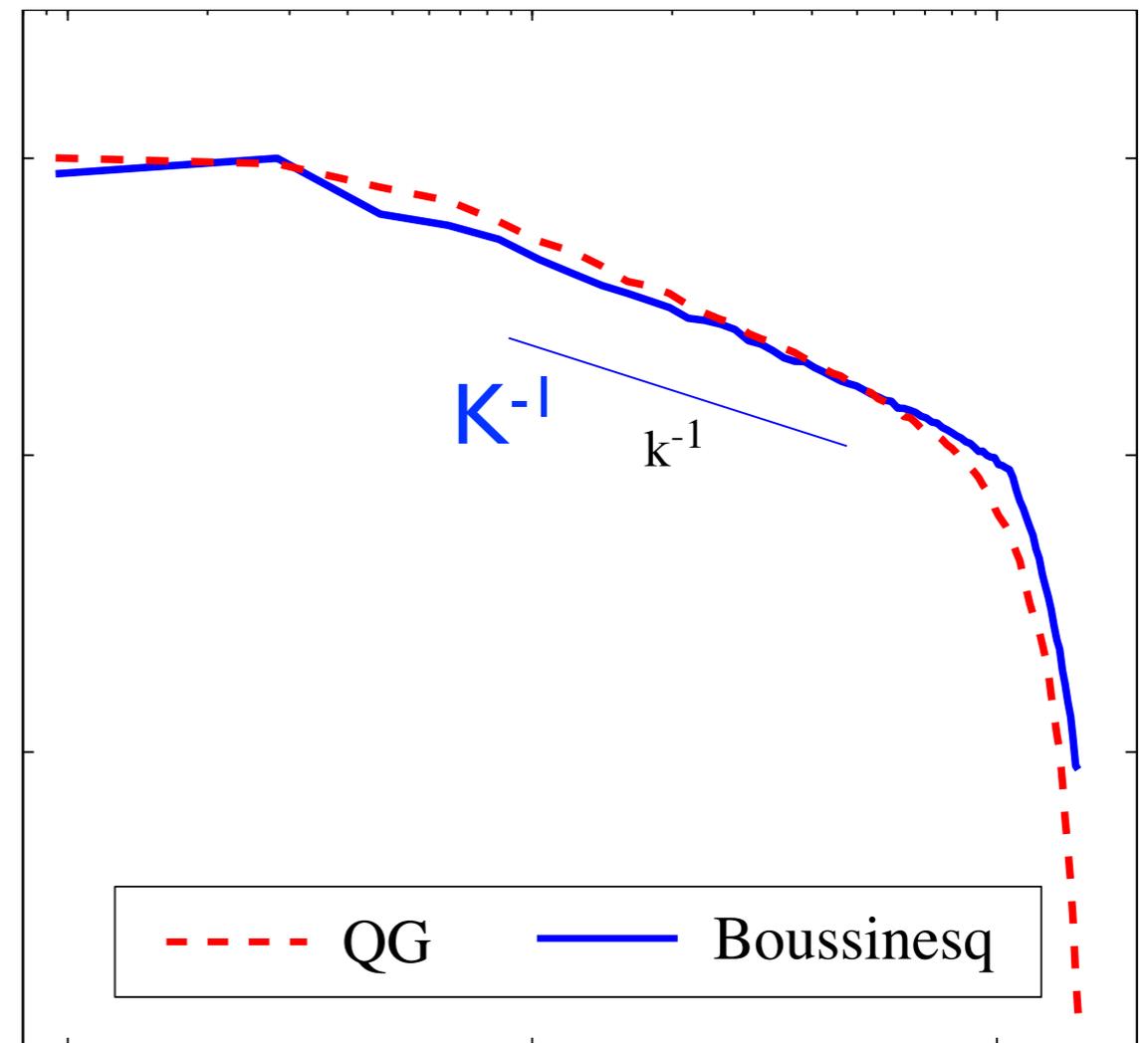
$$\Pi(k, t) = \int_k^{k^{max}} -\hat{\mathbf{u}}^* \cdot \widehat{\nabla \mathbf{u}} dk'$$

QG model does not capture small-scale energy or forward flux

Lateral tracer slice



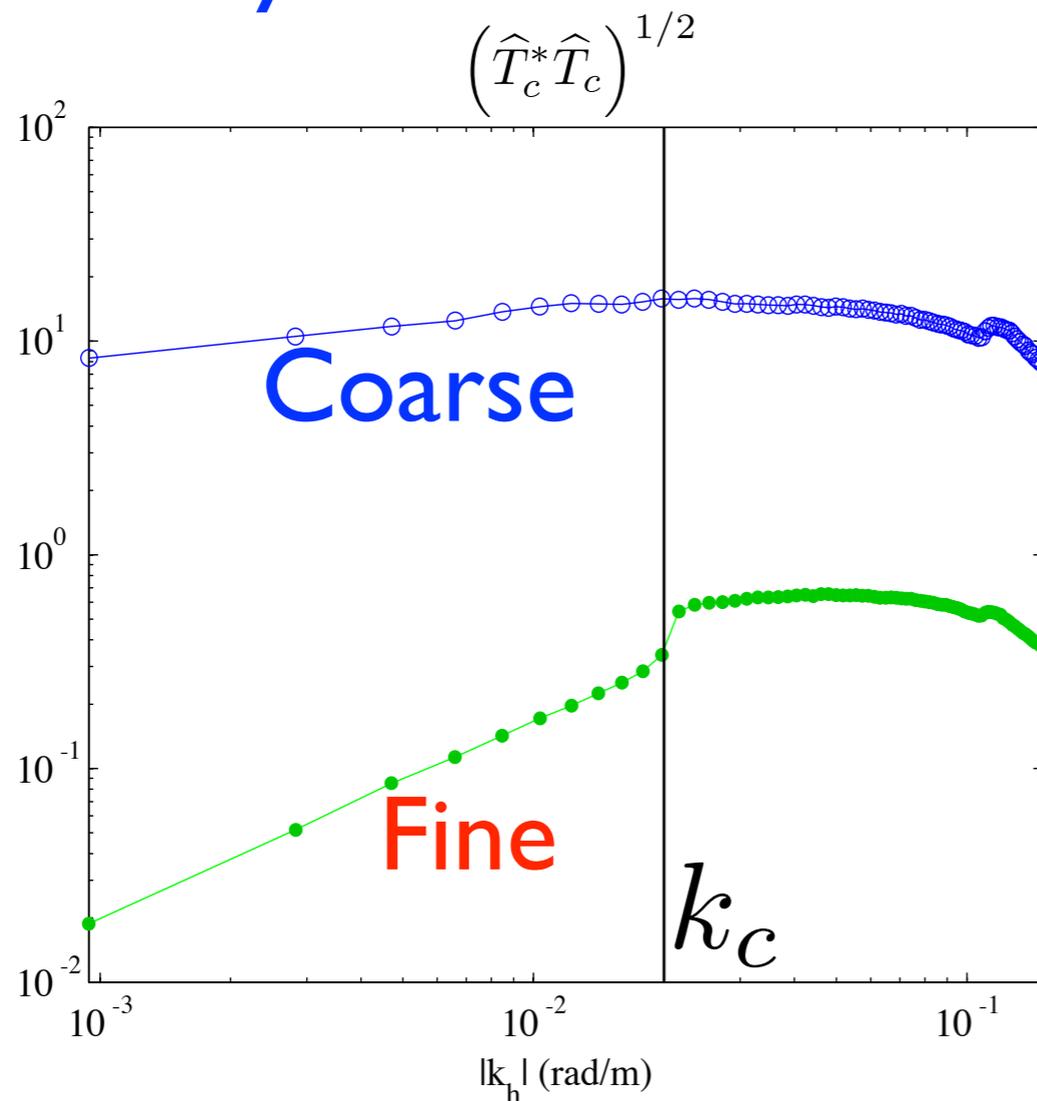
Variance spectra



Spectral variance budget

$$\frac{\partial}{\partial t} \left(\frac{\widehat{c}^* \widehat{c}}{2} \right) = - \widehat{c}^* \underbrace{\widehat{\mathbf{u} \cdot \nabla c}}_{\widehat{T}_c(k)} - \widehat{c}^* \widehat{v} \frac{dC}{dy} + \widehat{c}^* D_c$$

Split velocity into coarse and fine parts: $\mathbf{u} = \mathbf{u}^f + \mathbf{u}^c$



Tracer stirring dominated by scales above spectral break in KE...

The “Scalable Lateral Mixing and Coherent Turbulence” Directed Research Initiative (ONR)

Observation (mostly)

Eric D'Asaro (APL/Seattle), Lou Goodman (UMass), Jody Klymak (UVic), Eric Kunze (APL), Jim Ledwell (WHOI), Craig Lee (APL), Murray Levine (OSU), Jonathan Nash (OSU), Tom Sanford (APL), Kipp Shearman (OSU), Miles Sundermeyer (UMass), Brian Concannon (NAVAir)

Modeling/Theory (mostly)

Raffaele Ferrari (MIT), Ramsey Harcourt (APL), Pascale Lelong (NRWA), Amala Mahadevan (WHOI), Jim McWilliams (UCLA), Jeroen Molemaker (UCLA), Tamay Ozgokmen (RSMAS), Roger Samelson (OSU), Eric Skillingstad (OSU), [Shafer Smith \(Courant/NYU\)](#), Amit Tandon (UMass), Leif Thomas (Stanford)

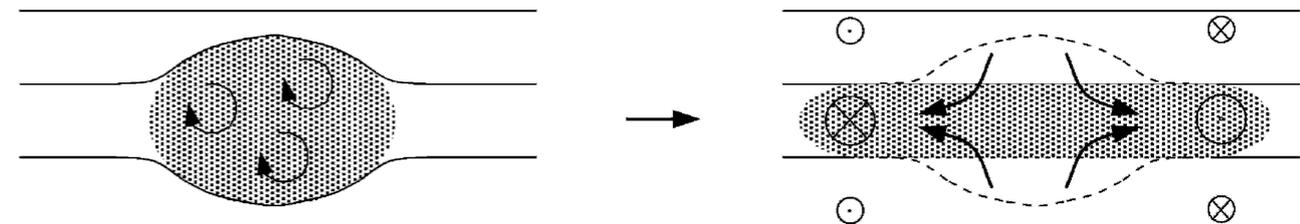
Timeline

- May 2008: Initial planning meeting
- Jan 2009: ONR funding began
- June 2010: Virtual experiment
- Aug 2010: Test cruise (Cape Hatteras)
- June 2011: Summer experiment (Cape Hatteras)
- Mar 2012: Winter experiment

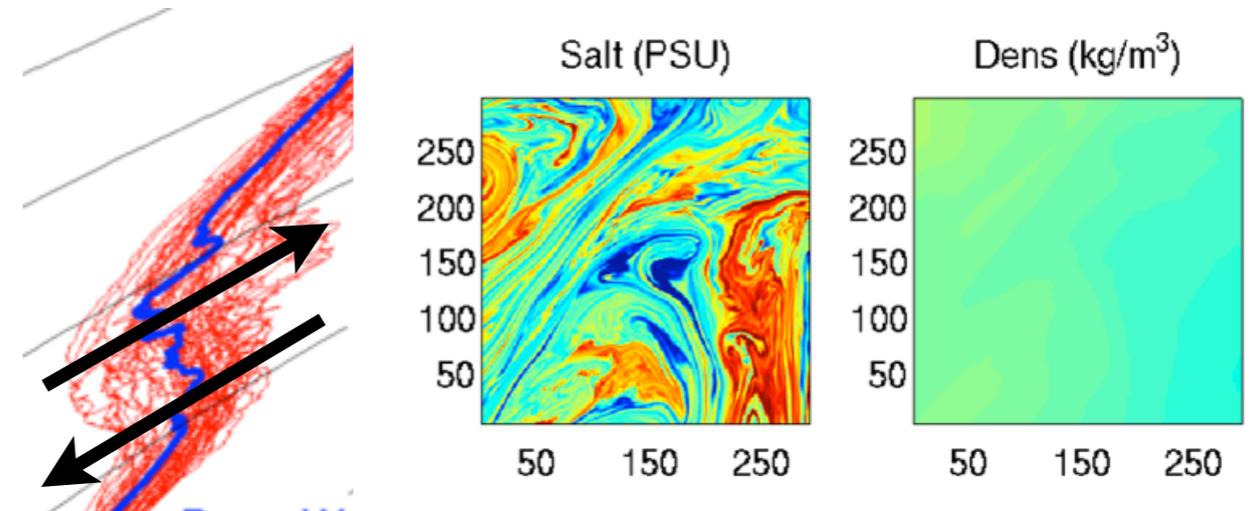
DRI Objective: Develop a combined modeling and observational program to investigate the mechanism that control transport and mixing at lateral scales of 100m-10km.

ONR insisted that the group develop a set of competing **hypotheses** that would serve as a basis for all future planning. Initial meetings led to:

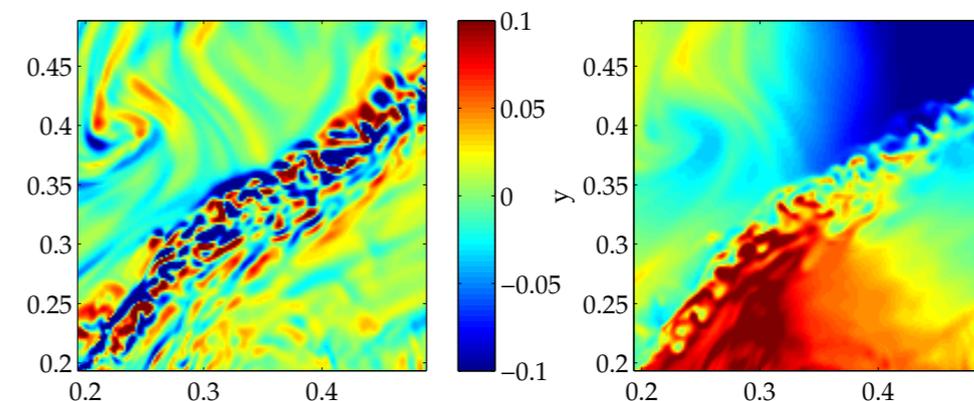
1. Inhomogeneous IW mixing creates PV anomalies that are responsible for significant isopycnal mixing.



2. Mesoscale straining leads to a cascade of tracer and PV variance, and submesoscale isopycnal mixing.



3. Unbalanced submesoscale instabilities feed a forward cascade of energy, scalar and PV variance, leading to isopycnal and diapycnal mixing.



I. “Open Ocean”
(shallow ML, moderate EKE)

II. “Frontal”
(deep ML, strong front)

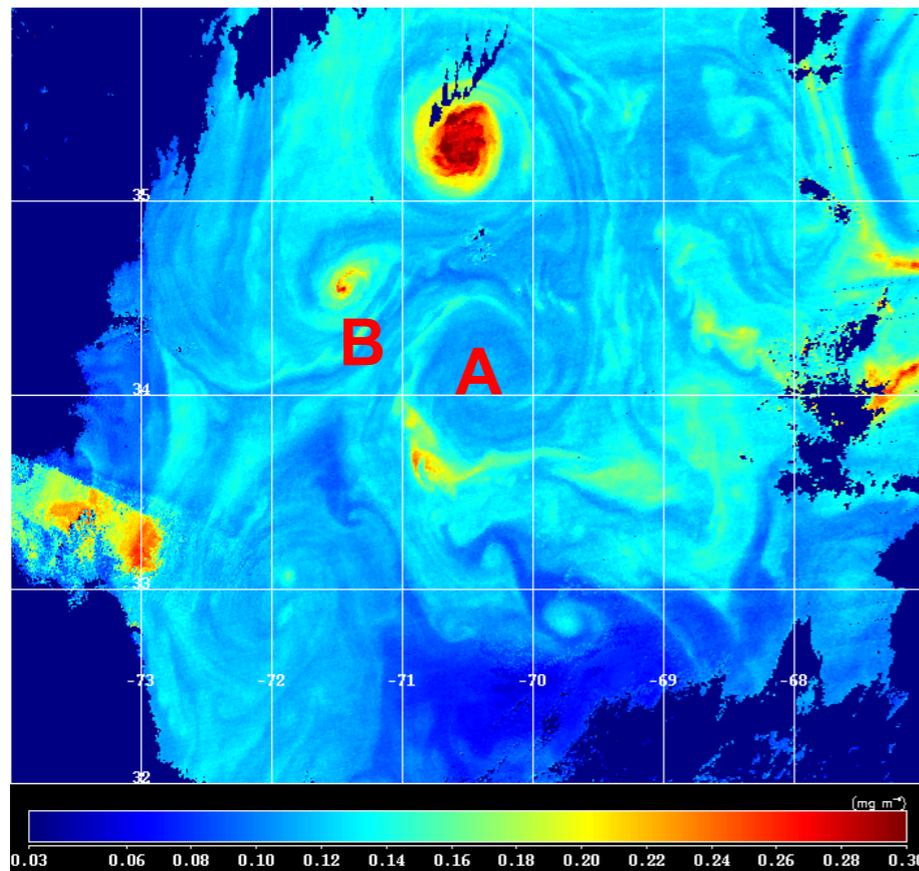


Figure 1: Sea surface chlorophyll distribution derived from sea surface color in the western Sargasso Sea on May 27, 2007 showing generic open ocean conditions that could be used to test hypotheses 1-3.

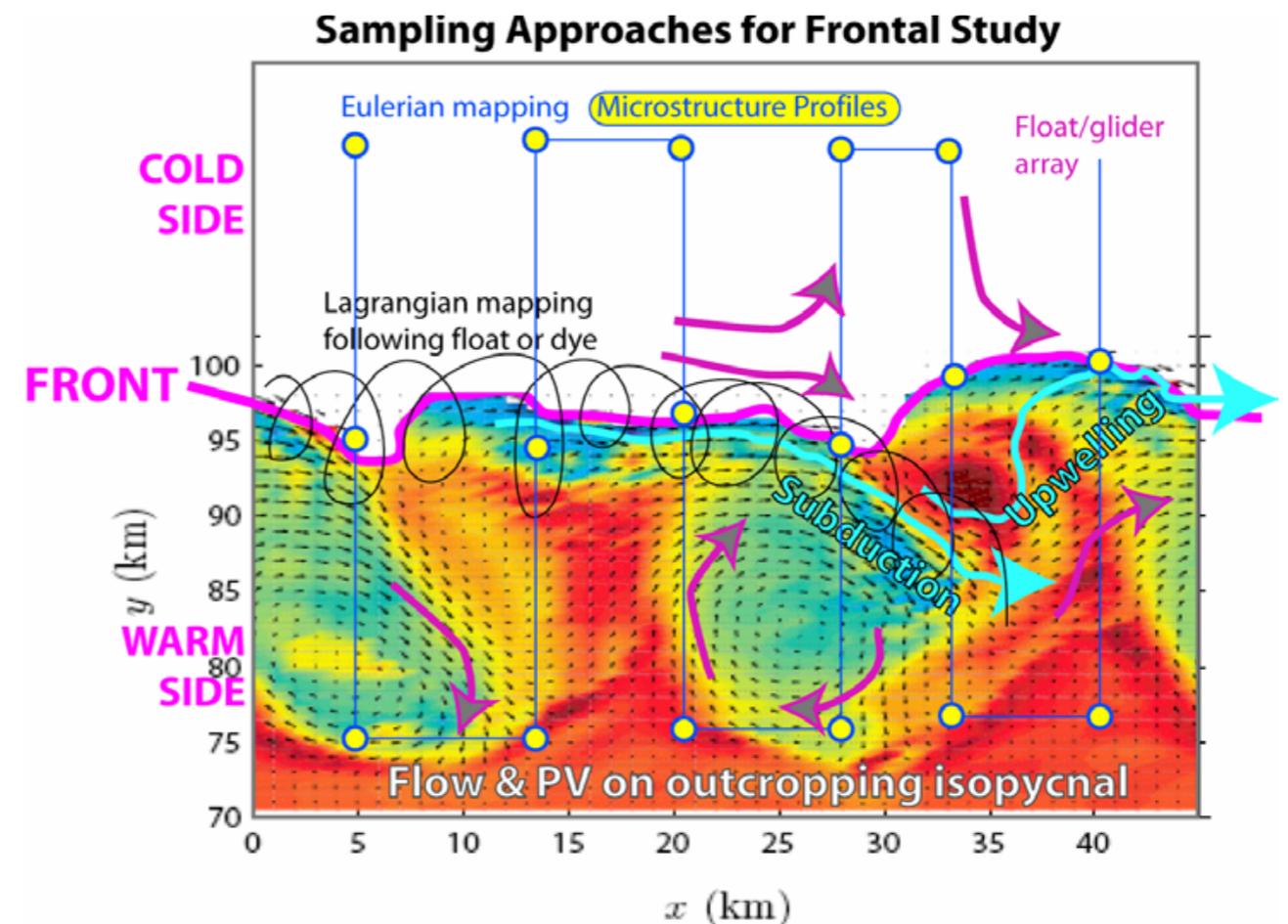


Figure 2: Schematic of possible sampling strategies for field site 2 superimposed on a simulation of a submesoscale frontal instability (from Thomas, 2007). Potential experimental elements are described in the text.



Near field sampling



Medium scale sampling

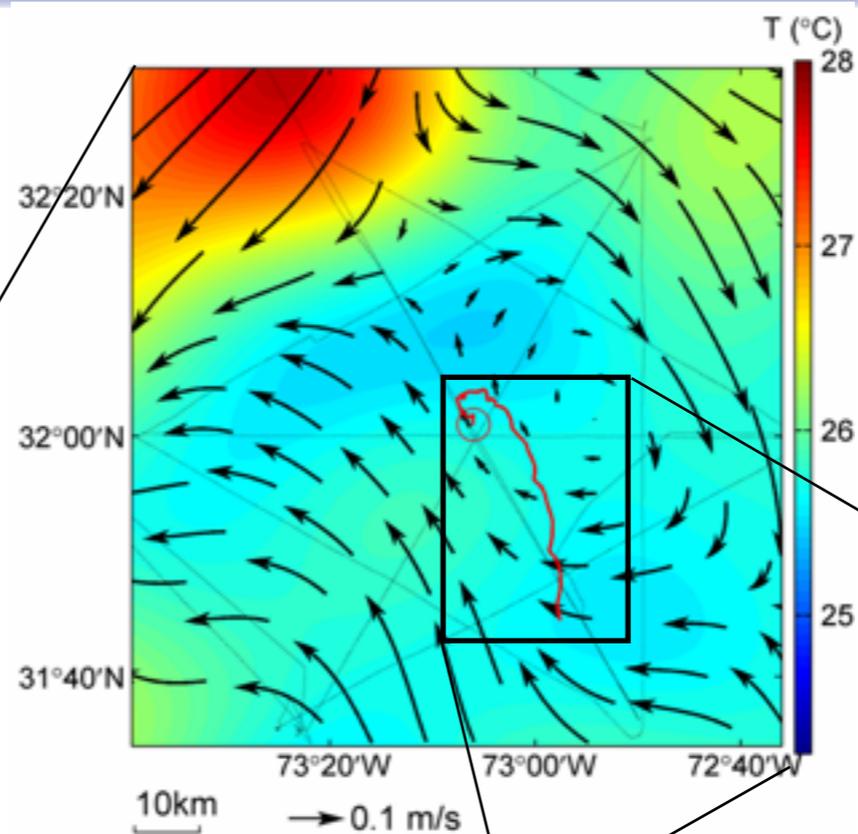
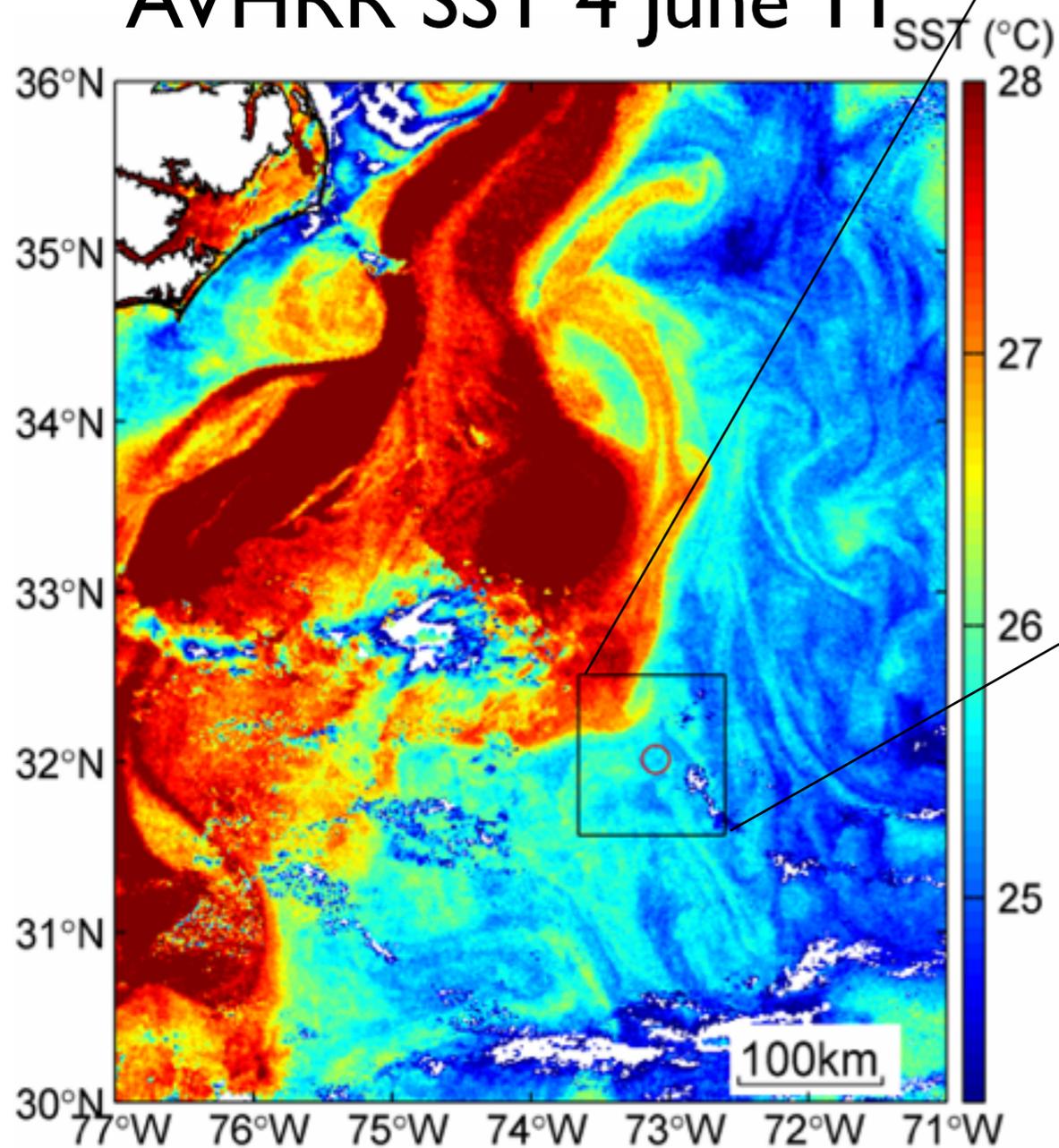


Large scale sampling



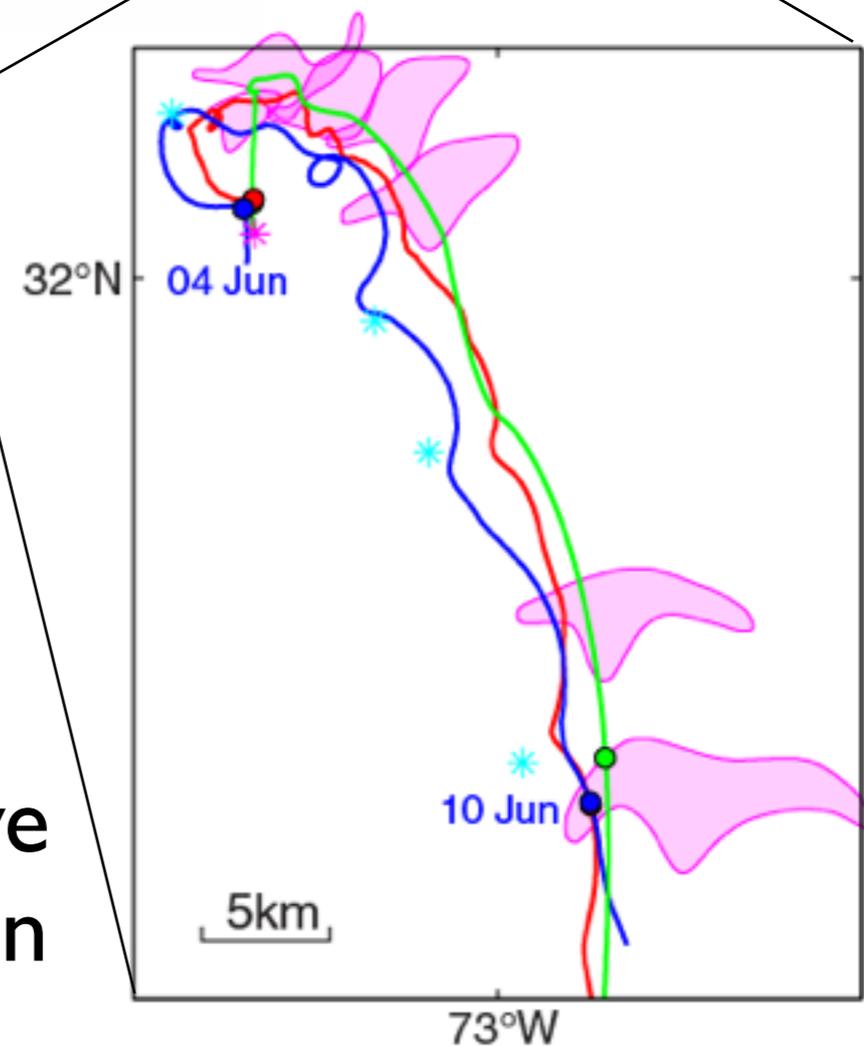
Platform	Instrument, Sensors, and/or Activity	Responsible Investigators
<i>C. Hatteras</i>	Dye Release	Ledwell
	Lagrangian Floats, CTD, Fluorometer	D'Asaro
	Drogued Drifters and T-strings	Sundermeyer, Lelong
	OSU Moving Vessel Profiler, CTD, Fluorometer	Levine
	UMass Towed Acrobat, CTD, Fluorometer	Sundermeyer, Birch
	Hull-mounted ADCP	Pierce
<i>Endeavor</i>	EM-APEX Constellation, CTD, u, v	Sanford, Lien, Dunlap
	U.Vic. Moving Vessel Profiler, CTD, Fluorometer	Klymak
	OSU Gliders	Shearman
<i>Oceanus</i>	Triaxus Towed CTD, Fluorometer, ADCP	Lee
	T-REMUS, CTD, ADCP, Microstructure	Goodman
	Gateway Buoy, T-string	Goodman
	Hammerhead towed CTD/Microstructure	Kunze
All 3 ships	Ship ADCP	Pierce
All 3 ships	Ship ADCP/Towed CTD synthesis	Shcherbina
	SVP Drifters	Lelong, Ozgokmen
<i>P3-Orion</i>	LIDAR	Concannon, Terray
<i>APL-UW</i>	INFLO data system	Harcourt
	Shipboard data systems	Sellers, Stolp

AVHRR SST 4 June II



COM path

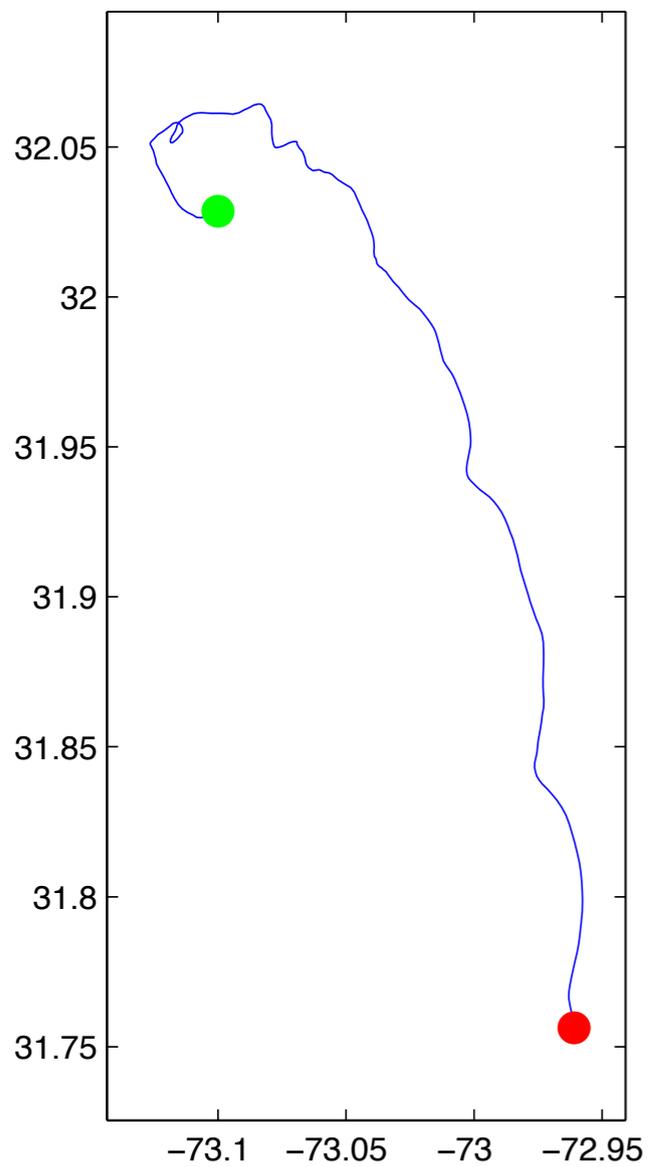
Rhodamine dye patch evolution



- ▶ Analysis of dye spreading by D. Birch and M. Sundermeyer implies lateral diffusivity of $O(1) \text{ m}^2/\text{s}$
- ▶ **J. Early:** Drifters released with dye provide an alternate means to compute lateral diffusivity; find $O(.1) \text{ m}^2/\text{s}$
- ▶ What is causing the observed diffusivity?
- ▶ Why are the dye and drifters so different?

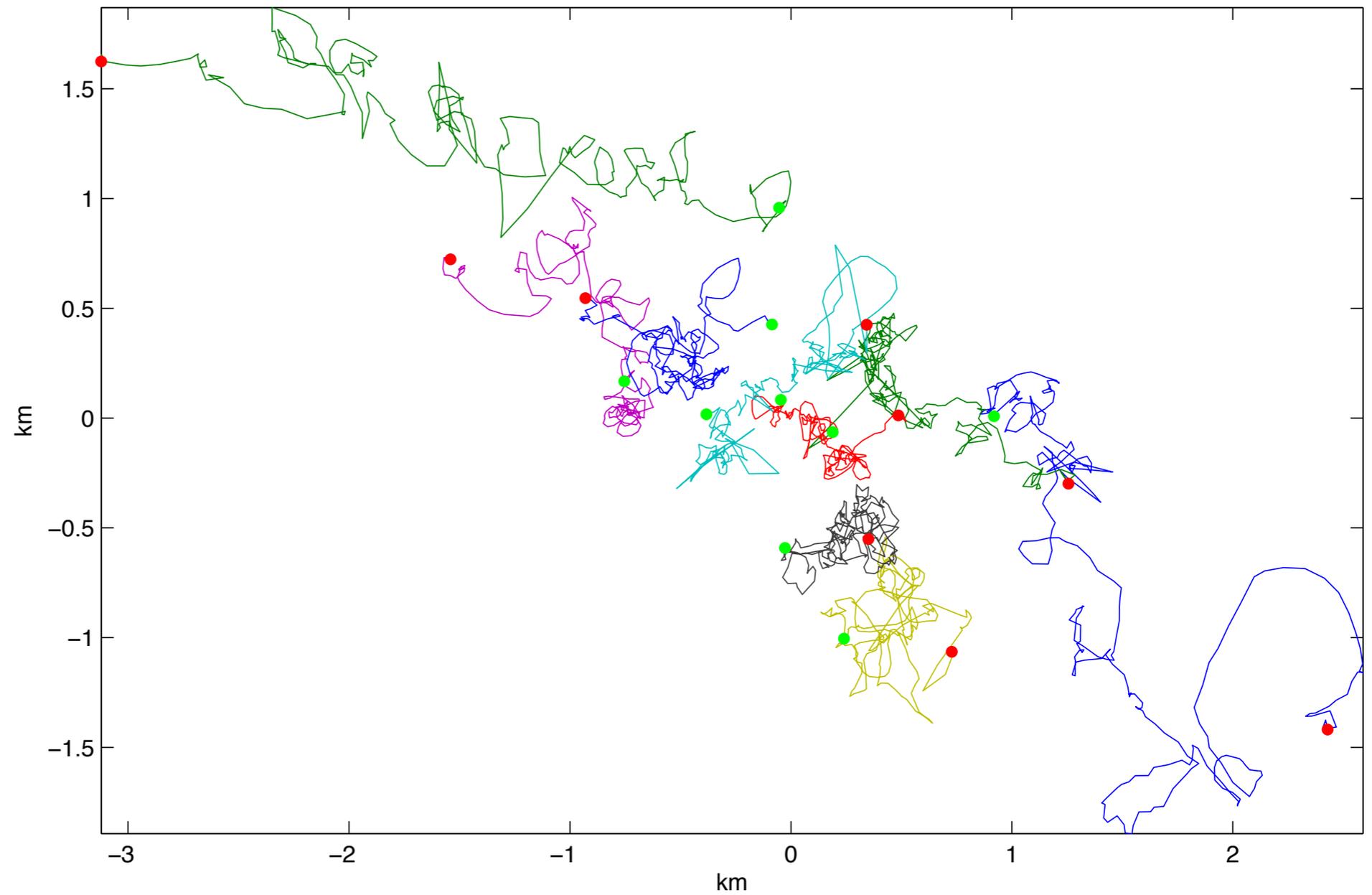
COM

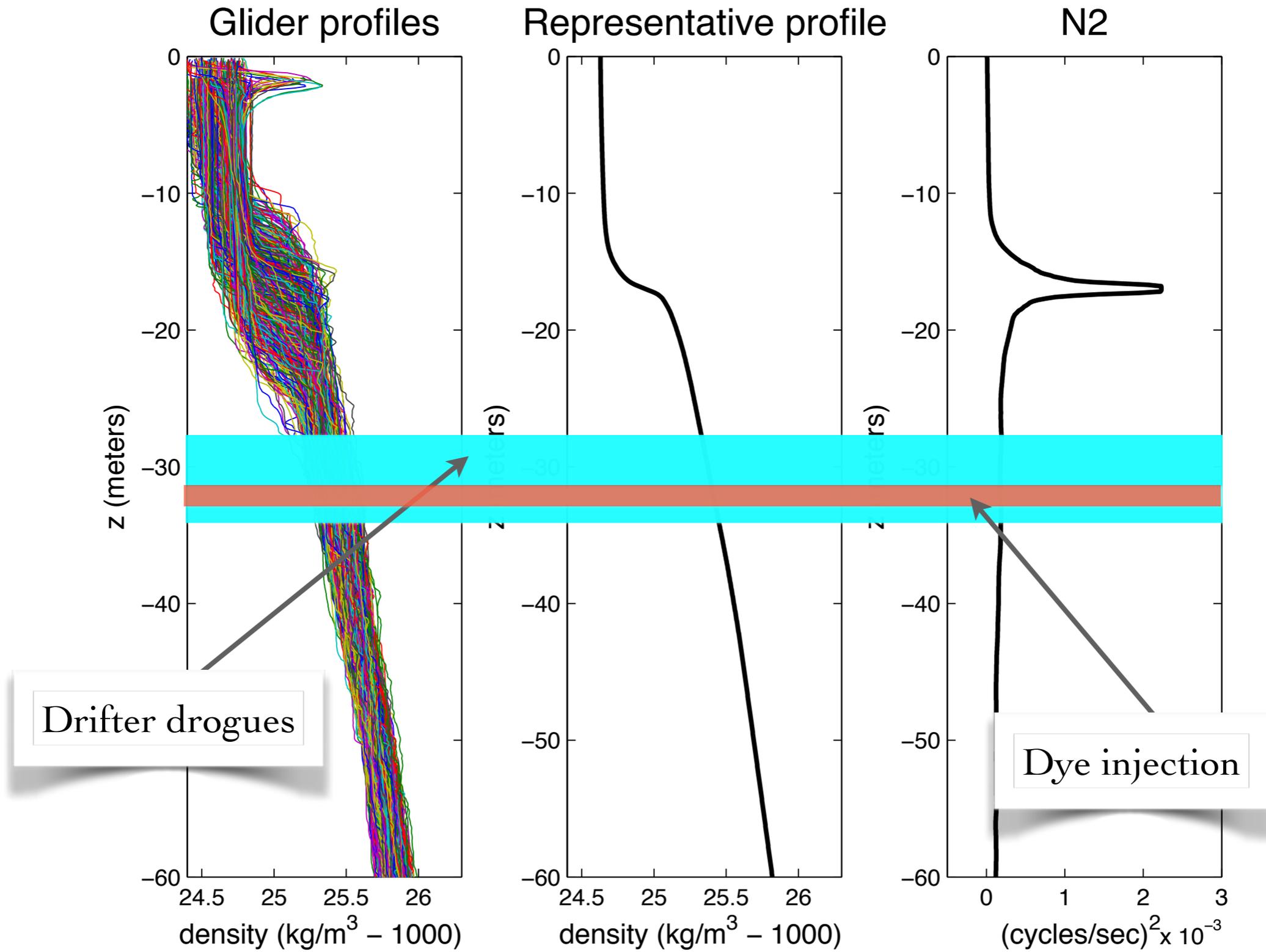
Drifter center of mass



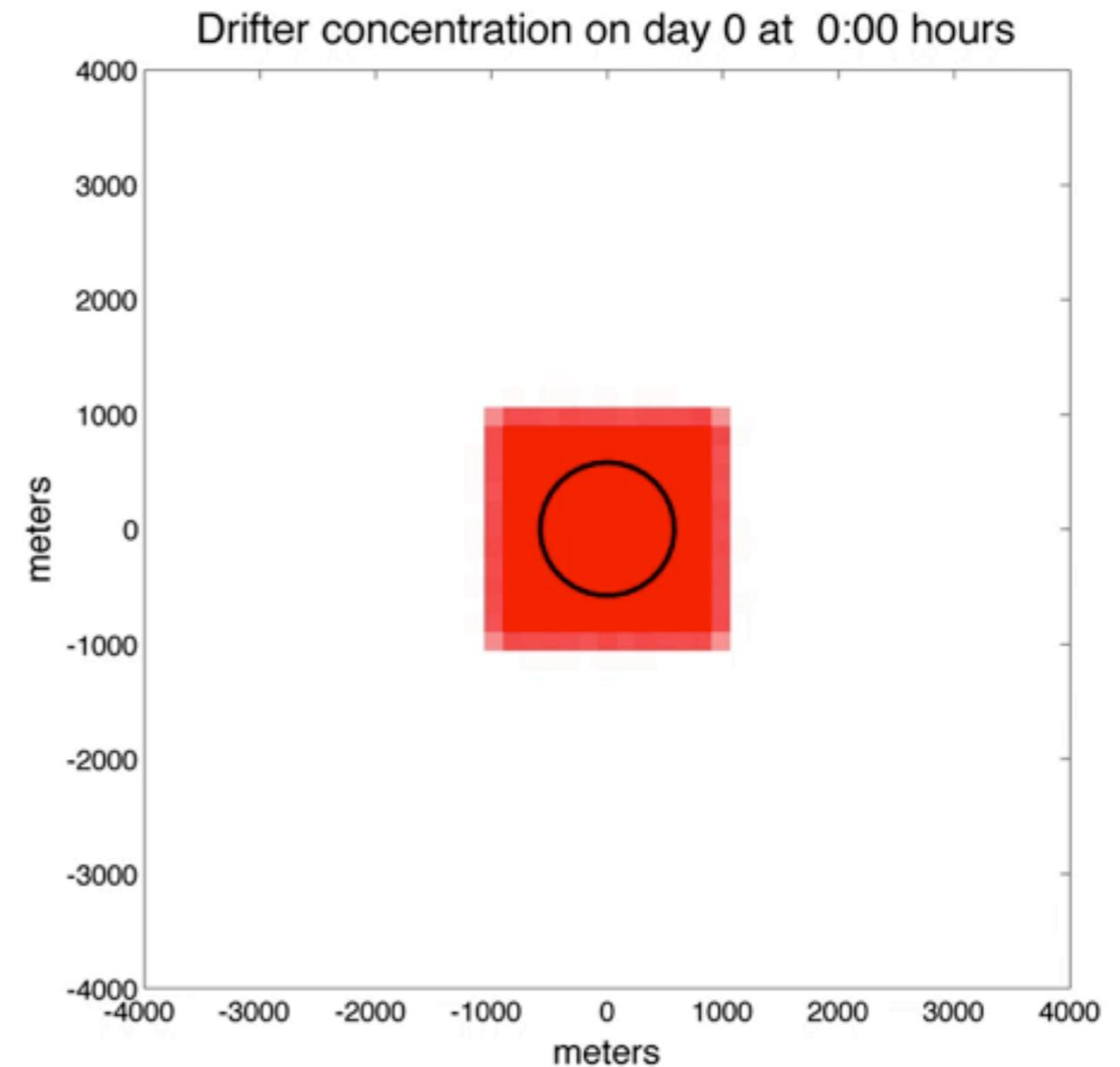
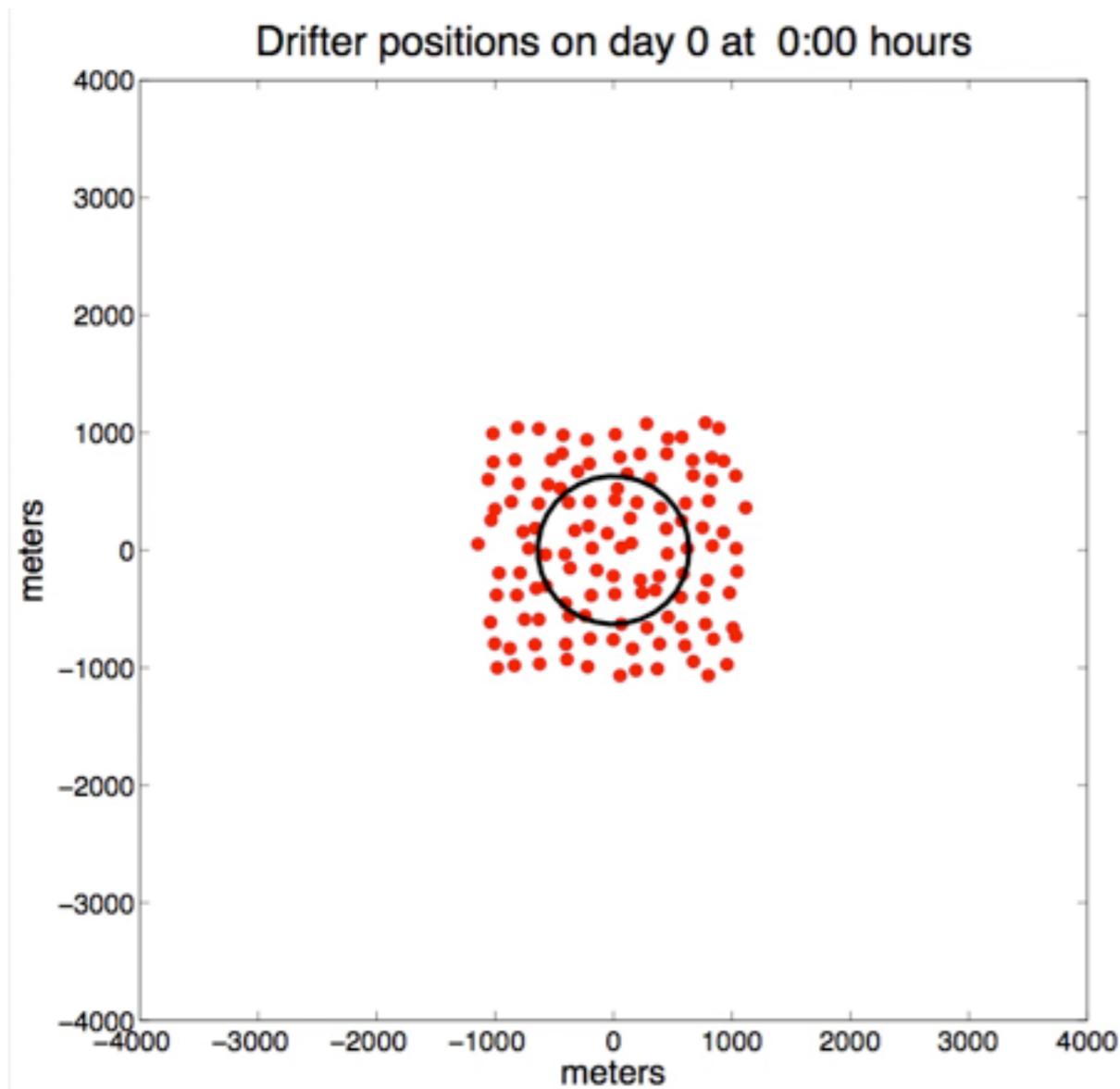
Drifters relative to COM

Drifters relative to the center of mass

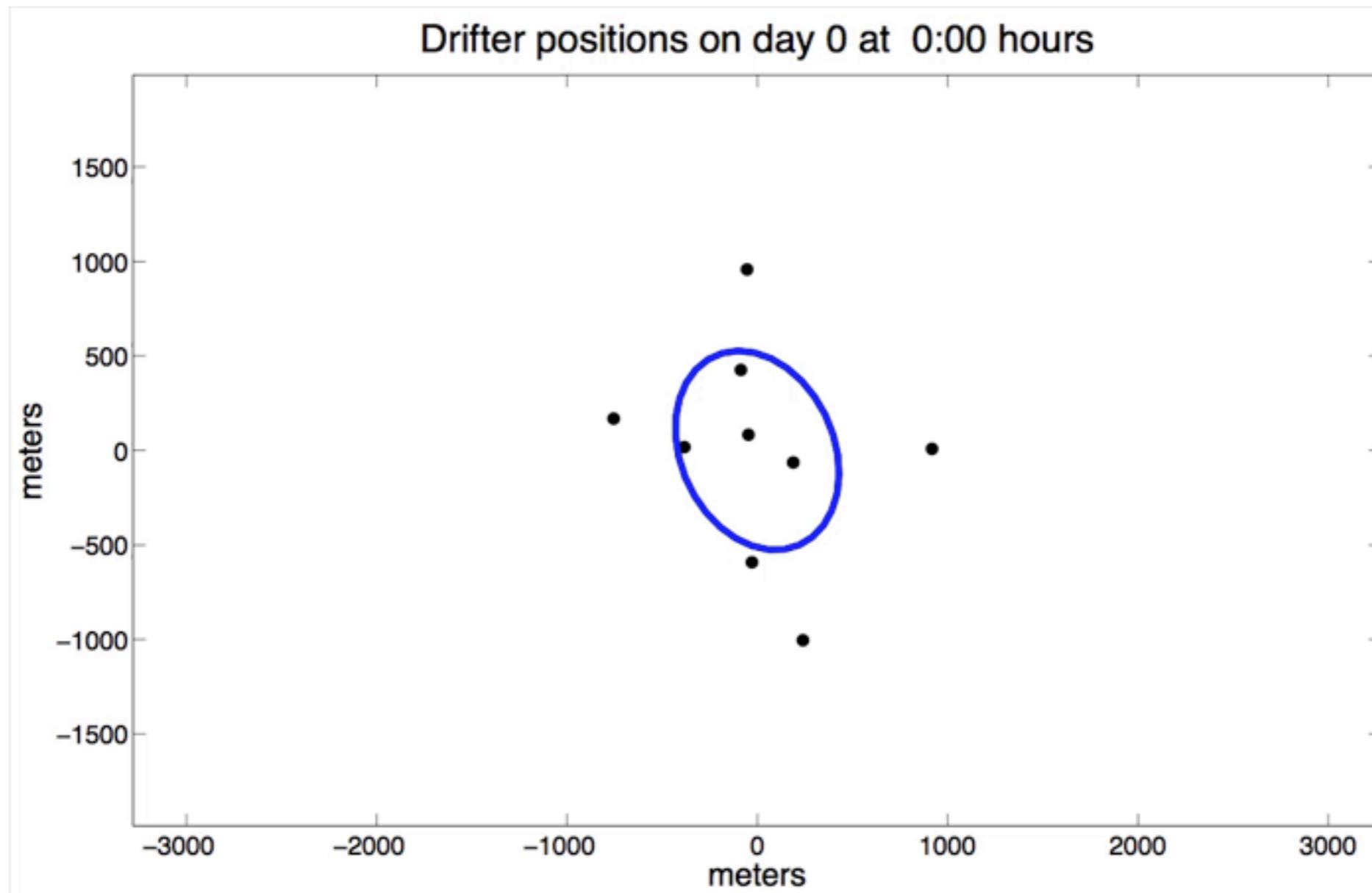




$$\partial_t \begin{bmatrix} x_i(t) \\ y_i(t) \end{bmatrix} = \sqrt{2\kappa} d\mathbf{W}_i. \quad \text{Large } N \Rightarrow \quad \phi_t = \kappa \nabla^2 \phi$$



○ 2nd moment



○ Observed 2nd moment

○ Isotropic model, $\kappa = 0.57 \pm 0.13 \text{ m}^2/\text{s}$

- ▶ Isotropic diffusivity is *not* a good model.
- ▶ The drifters are clearly being stretched by a strain field.
- ▶ Need a model to account for strain and diffusivity.

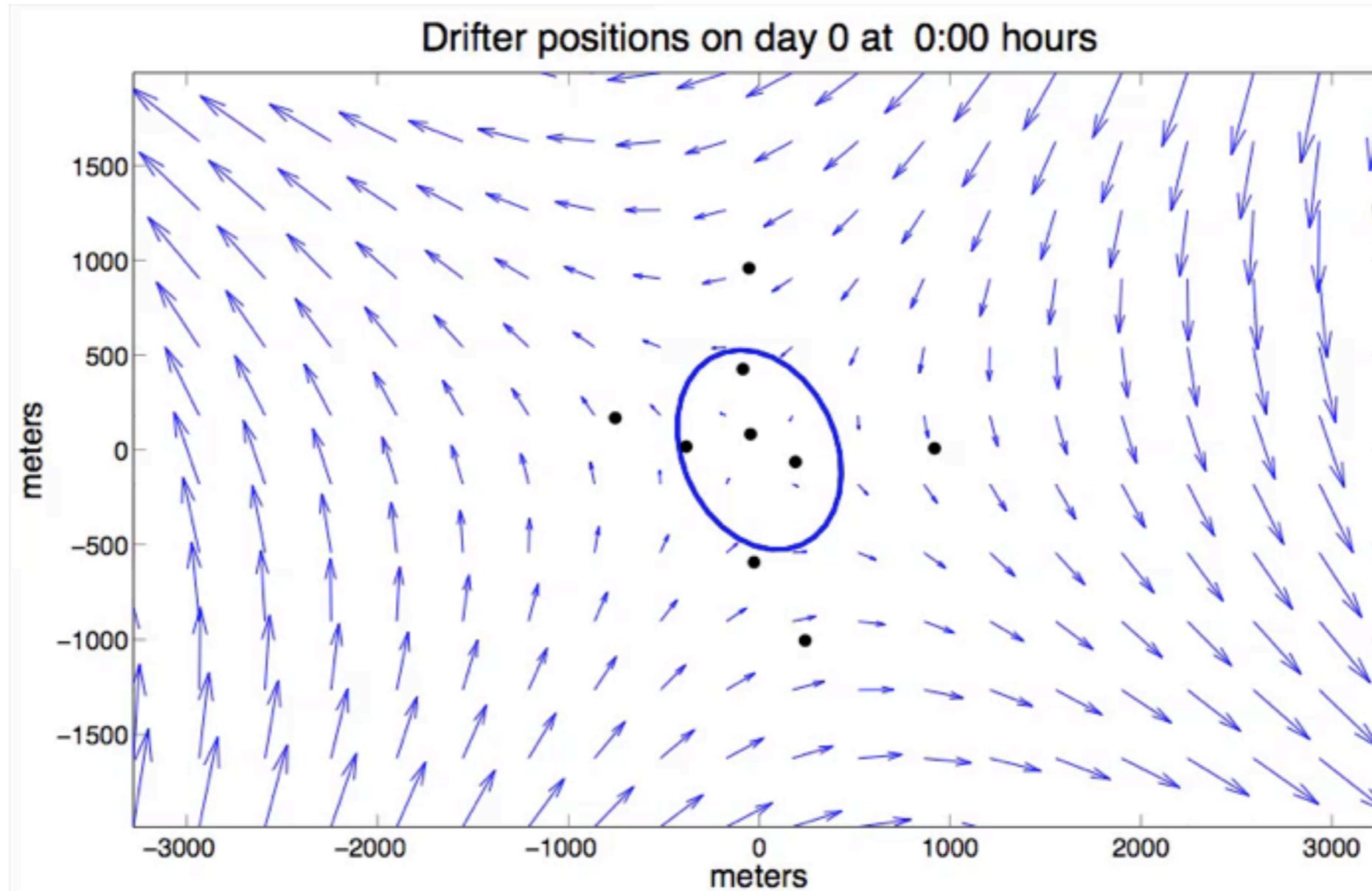
✱ Model for tracer $\phi(x, y, t)$,

$$\begin{aligned} \phi_t + \frac{\sigma}{2} (x \cos 2\theta - y \sin 2\theta) \phi_x \\ - \frac{\sigma}{2} (x \sin 2\theta + y \cos 2\theta) \phi_y = \kappa \nabla^2 \phi. \end{aligned}$$

✱ Model for particle $(x_i(t), y_i(t))$, [Birch & Sundermeyer]

$$\partial_t \begin{bmatrix} x_i(t) \\ y_i(t) \end{bmatrix} = \frac{\sigma}{2} \begin{bmatrix} \cos 2\theta & -\sin 2\theta \\ -\sin 2\theta & -\cos 2\theta \end{bmatrix} \begin{bmatrix} x_i(t) \\ y_i(t) \end{bmatrix} + \sqrt{2\kappa} d\mathbf{W}_i.$$

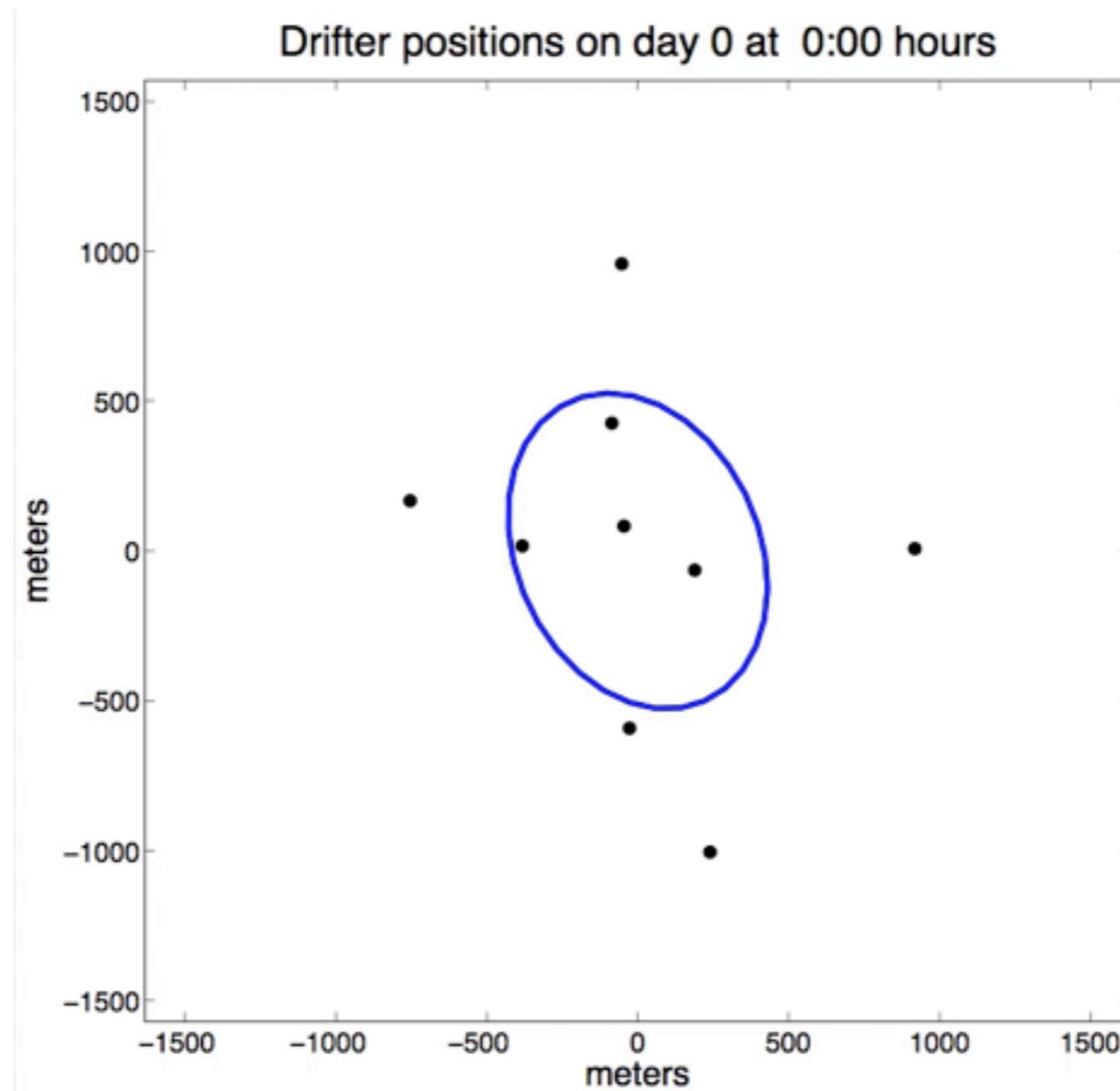
✱ Strain σ , angle θ , diffusivity κ , are parameters to find by minimizing an error function.



○ Observed 2nd moment

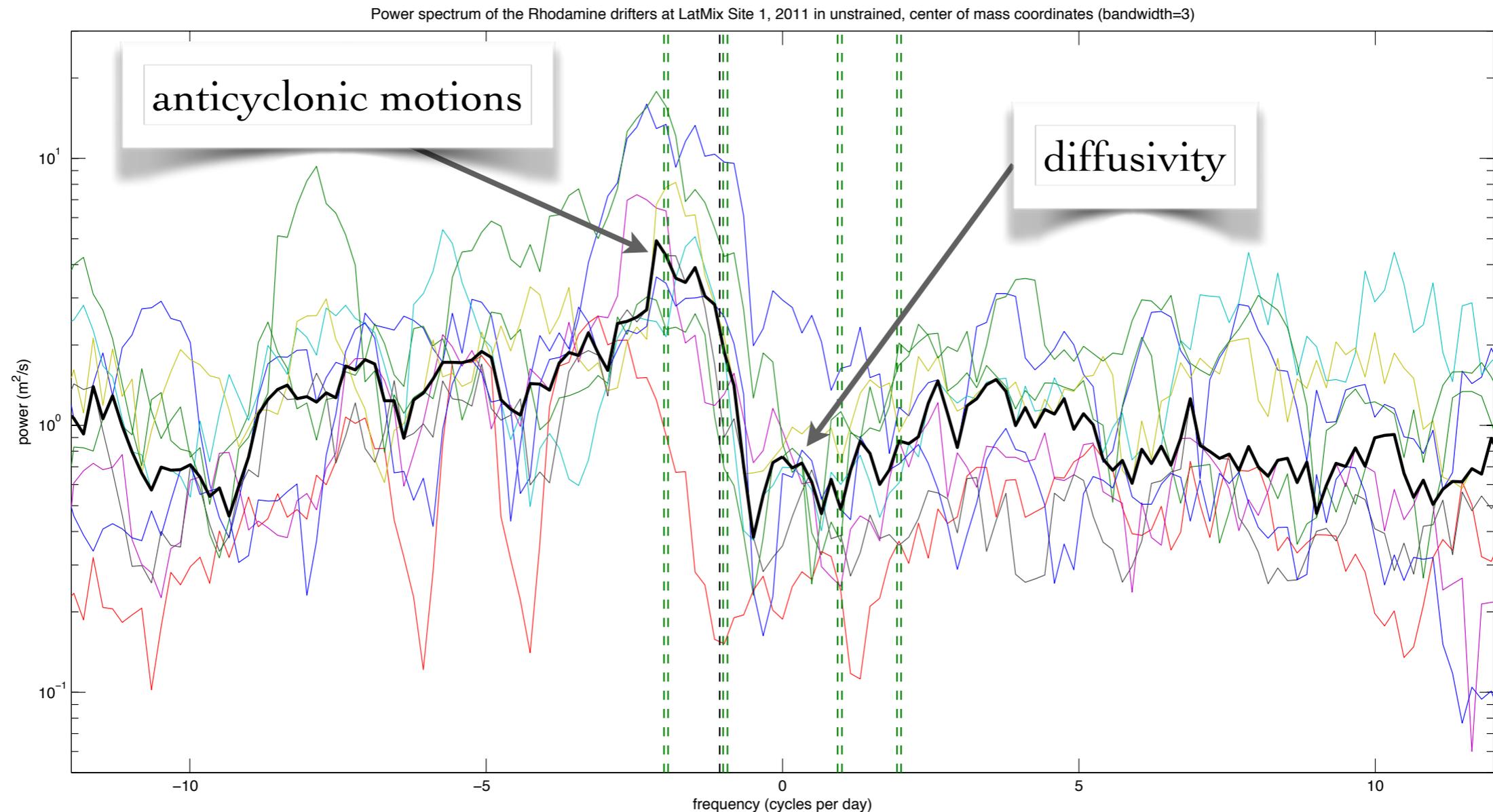
○ Strain-diffusivity model,

$$\kappa = 0.20 \pm 0.04 \text{ m}^2/\text{s}, \sigma = 3.4 \cdot 10^{-6} \text{ s}^{-1}, \theta = -32^\circ$$



○ Observed 2nd moment

○ Isotropic model, $\kappa = 0.23 \pm 0.06 \text{ m}^2/\text{s}$



Velocity spectrum looks like internal waves.

Single particle diffusivity estimates also give $\kappa = 0.2 \text{ m}^2/\text{s}$

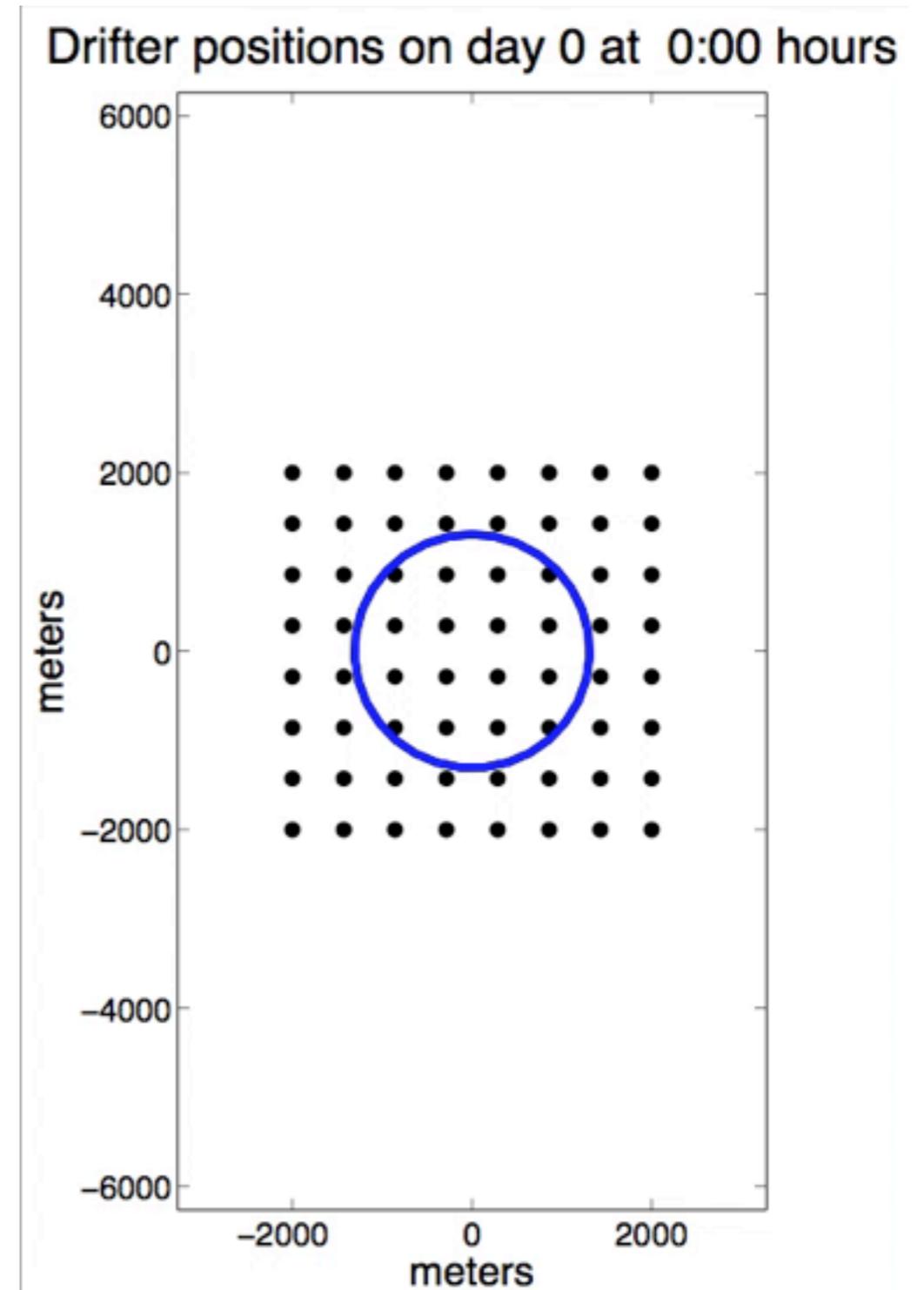
- ▶ Below scale of eddy, IWs are only motion observed
- ▶ Dye spreads on isopycnal, drifters spread at constant depth, with average velocity over 6m length of drogue
- ▶ Advect particles with GM spectrum of waves, with energy matched to observations:
 - ▶ **Dye-like particles:** isopycnal following and diffusive, subject to shear dispersion.
 - ▶ **Dye-like non-diffusive particles:** isopycnal following, but not subject to shear dispersion.
 - ▶ **Drifter-like particles:** fixed z , averaged over depth.

GM linear wave model
set to match observed
energy levels of dye release
region.

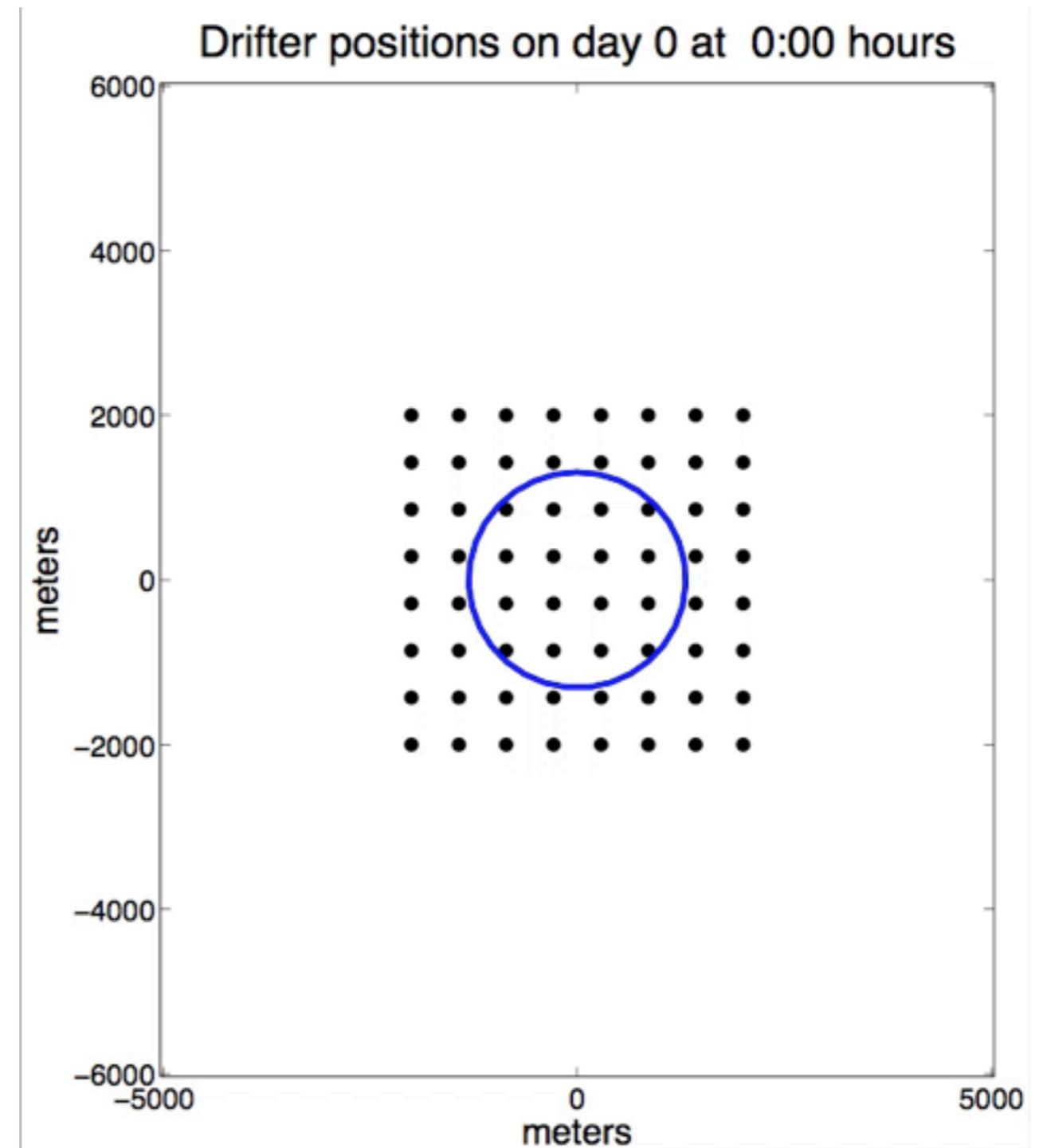
More particles than were
released in experiment.

○ Observed 2nd moment

○ Isotropic model, $\kappa = 0.26 \pm 0.08 \text{ m}^2/\text{s}$



- ▶ Much higher diffusivity than for drifter-like particles (Dewar 1980 predicted similar result!)
- ▶ Diffusive & non-diffusive (not shown) cases indistinguishable => shear dispersion negligible (Birch & Sundermeyer, too)

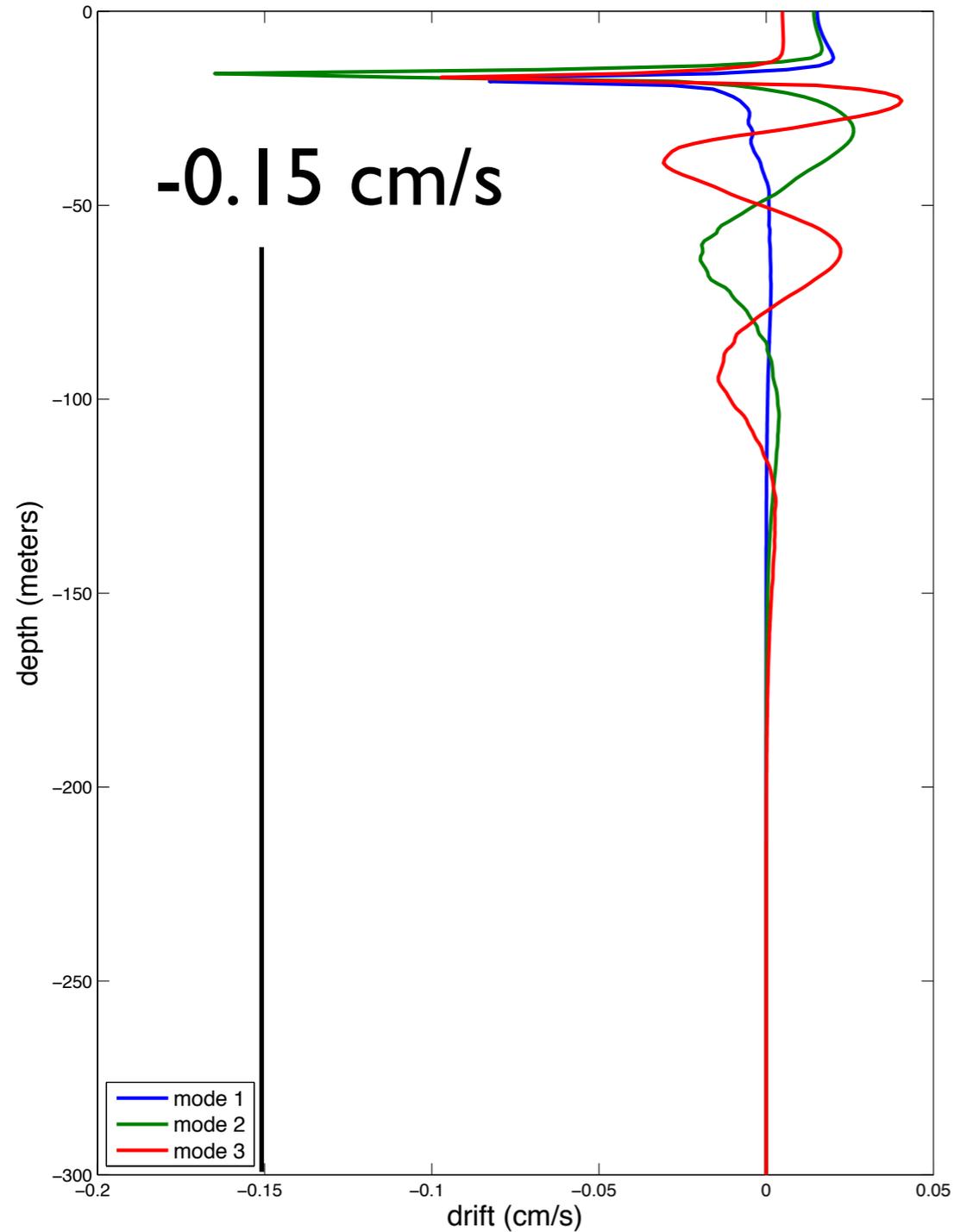


○ Observed 2nd moment

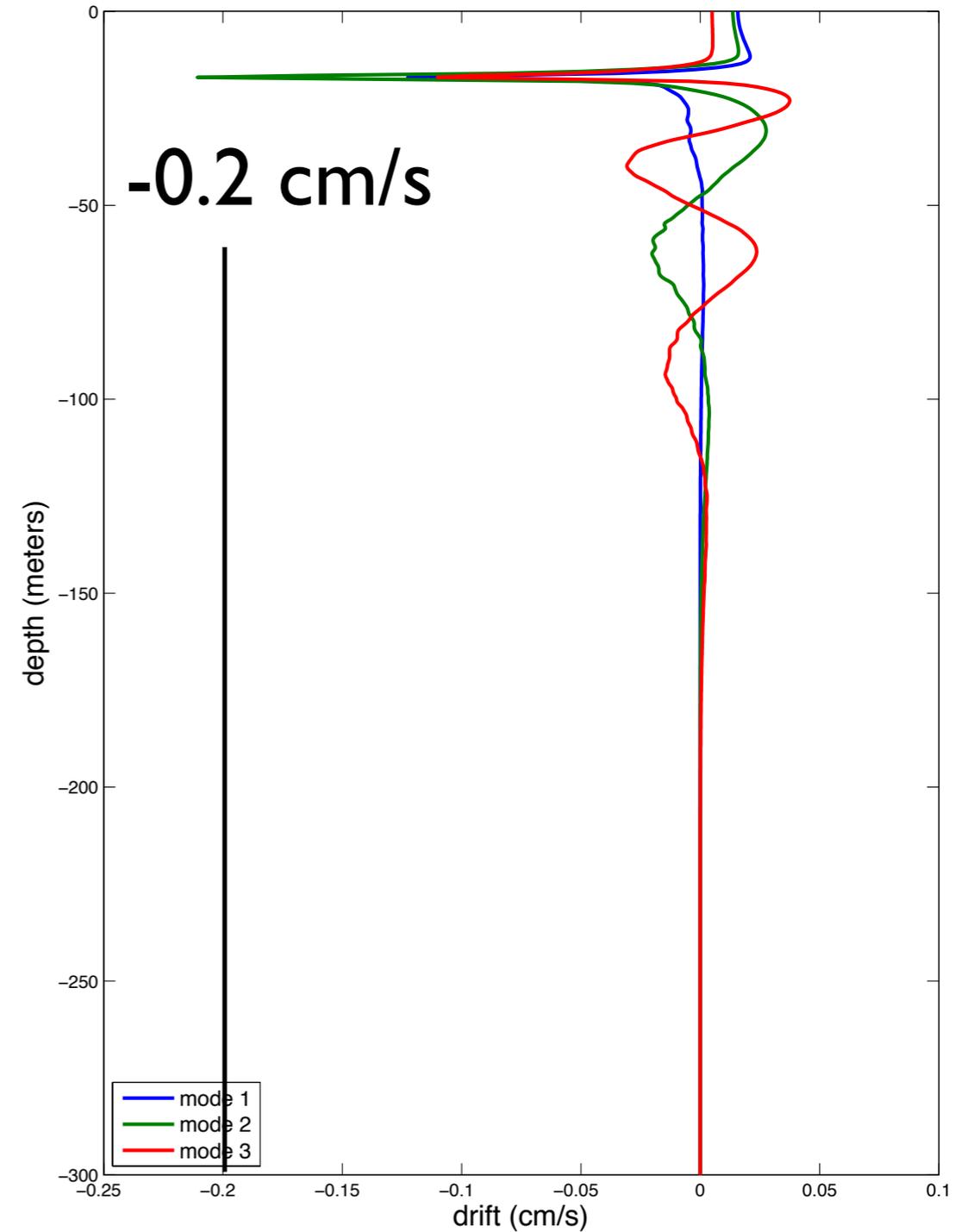
○ Isotropic model, $\kappa = 1.8 \pm 0.2 \text{ m}^2/\text{s}$

- ▶ Stokes drift $u^S = \overline{(\boldsymbol{\xi} \cdot \nabla) \mathbf{u}}$ $\partial_t \boldsymbol{\xi} = \mathbf{u}$
- ▶ For 3D transverse plane wave, $\mathbf{u} \propto \mathbf{k}^\perp \Rightarrow u^S = 0$
- ▶ But with modal solutions, $u = U \cos(kx + ly - \omega t) F_j(z)$
consistent with boundary and polarization conditions,
Stokes drift does not vanish...
- ▶ Effect greatly exaggerated by non-constant N^2 !
- ▶ [and even 3D plane waves yield drift for drifters on
constant- z surfaces (Dewar 1980)]

Stokes drift from a 1.0 cm/s, 150 meter wave, modeled



Stokes drift from a 1.0 cm/s, 150 meter wave, theoretical



THE EFFECT OF INTERNAL WAVES ON NEUTRALLY BUOYANT FLOATS
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by

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GEM! Thanks
to B.Young for
pointing this out

- ▶ Drifters consistently show a much lower diffusivity than the dye release.
- ▶ The difference may be explained by their different transport mechanisms.
- ▶ Shear dispersion does not appear to be significant.
- ▶ Stokes drift may account for the observed diffusivity.